

**University of London**

**LLM in INTERNATIONAL CORPORATE GOVERNANCE  
and FINANCIAL REGULATIONS and ECONOMIC LAW**

**2016-2017**



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**THE INSTITUTE OF ADVANCED LEGAL STUDIES**

**School of Advanced Study**

**University of London**

**Charles Clore House**

**17 Russell Square**

**London WC1B 5DR**

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## **WELCOME FROM THE DEAN**

The School is a unique institution in UK Higher Education. We believe that we offer an outstanding multi-disciplinary environment for advanced learning and research in the humanities and social sciences, and we greatly value the contribution that all our students make to this environment.

We are glad that you have decided to bring your talents to the School and to participate in the vital and exciting enterprise of pursuing and disseminating knowledge. The purpose of this handbook is to give you full information about what is available, what to expect, how to get it, and what to do if problems arise. While your home Institute will be responsible for most aspects of your studies, the School and its Registry play an overarching role in ensuring that you progress smoothly through your programme, and that from the time of your registration to the awarding of your degree your academic and personal interests are safeguarded. We hope that you will find this handbook useful (and would welcome suggestions for ways in which it might be improved), and that it will help to guarantee that your period of study at the School is as rewarding an experience as you, and we, would wish.

**Professor Roger Kain**  
Dean

## **THE SCHOOL OF ADVANCED STUDY**

The School (or SAS) unites ten specialist humanities and social science research Institutes at the centre of the University of London. Located in Bloomsbury, the School has strong links with the Colleges of the University and with the wider national and international research community in each of its disciplines. The School and Institutes run a varied programme of seminars, conferences, lectures, workshops, and research training events. The combined collections of the Institute libraries and the Senate House Library form the Senate House Libraries.

The School has a unique atmosphere of both specialised scholarly study and interdisciplinary collegiality. There are approximately 300 master's and research students in the School who, with staff and researchers, form a friendly and lively academic community.



## **INSTITUTE OF ADVANCED LEGAL STUDIES**

The Institute of Advanced Legal Studies was founded in 1947. It was conceived and is funded as a national academic institution, attached to the University of London, serving all universities through its national legal research library.

Its function is to promote, facilitate and disseminate the results of advanced study and research in the discipline of law, for the benefit of persons and institutions in the UK and abroad.

IALS is part of the University's School of Advanced Study, occupying eight floors of purpose-built accommodation in Russell Square. The Institute's Library, on six floors of the Institute building, is a nationally and internationally renowned centre of excellence for legal research, containing over 280,000 volumes in its various collections.

The nearest London Transport tube stations are Russell Square (Piccadilly line), Euston (Northern and Victoria lines) and Euston Square (Circle and Metropolitan lines). The following public bus services serve Russell Square: 7, 59, 68, 91, 168 and 188. Wheelchair access to the building is available via a ramp from street level down to floor L1. Access to each floor is by lift or stairs.

## CONTACT DETAILS

### COURSE DIRECTORS

Dr Mahmood Bagheri  
[Mahmood.Bagheri@sas.ac.uk](mailto:Mahmood.Bagheri@sas.ac.uk)

Dr Constantin Stefanou  
[Constantin.Stefanou@sas.ac.uk](mailto:Constantin.Stefanou@sas.ac.uk)

IALS Taught Programmes Director: Dr Constantin Stefanou (Room 509), tel: 020 7862 5759, [constantin.stefanou@sas.ac.uk](mailto:constantin.stefanou@sas.ac.uk)

THE INSTITUTE OF ADVANCED LEGAL STUDIES  
Charles Clore House, 17 Russell Square, London WC1B 5DR  
Website: [www.ials.sas.ac.uk](http://www.ials.sas.ac.uk)

## Registry team

[sas.registry@sas.ac.uk](mailto:sas.registry@sas.ac.uk)

Kalinda Hughes, Assistant Registrar	<a href="mailto:kalinda.hughes@sas.ac.uk">kalinda.hughes@sas.ac.uk</a>	+44 (0)20 7862 8873
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Registry  
School of Advanced Study, University of London  
Ground Floor, Senate House South Block, Malet Street, London WC1E 7HU, England  
School Website: <http://www.sas.ac.uk/>

## Term dates 2016-17

**Autumn term** 3 October – 16 December 2016

**Spring term** 9 January – 12 April 2017

**Summer term** 24 April – 30 June 2017

### School closures:

**Christmas:** 26 December 2016 to 2 January 2017 inclusive

**Easter:** 13 April to 18 April 2017 inclusive

**Bank Holidays:** Monday 1 May 2017; Monday 29 May 2017; Monday 28 August 2017

**Foundation Day** during which the School may be closed for some hours: 22 November 2016

## Other dates 2016-17

**Thursday 29 September 2016** **International Students' Welcome**  
2.00 pm, Room 234 Senate House  
**All international students should attend.**  
A full timetable of events is included in student registration packs.

**Friday 30 September 2016** **School of Advanced Study Registration and Enrolment**  
9.00 am to 1.00 pm in the Chancellor's Hall, Senate House  
**All new students are required to attend.**

**Student Induction**  
2.00 pm to 5 pm, Chancellor's Hall, Senate House  
A full timetable for registration is included in student registration packs.

**Wednesday 5 October 2016** **Research Students' Induction**  
**All new research students are required to attend.** Research students who began their studies in 2016-17 but were unable to attend last year's session are encouraged to attend this year's. A full programme will be circulated to new research students.

**Dean's Welcome**  
5.30 pm, Second floor lobby, Senate House

**Wednesday 6 October 2016** **Research Students' Induction (cont'd)**

## Admission

The School of Advanced Study's Admissions Policy is available at: <http://www.sas.ac.uk/about-us/policies>  
Your place to study for a postgraduate degree with us is subject to the following conditions:

- Provision of formal photographic identification upon formal registration (e.g. passport, photo driving licence)
- Satisfactory evidence of the qualifications which entitle you to be registered for the degree; two satisfactory references
- Evidence of attainment of the minimum standard required in written and spoken English if your first language is not English. (Institutes reserve the right to require you to withdraw from a programme if, in the opinion of the Programme Director, your proficiency in English is inadequate.)
- Prompt and full payment of tuition fees for the academic year in which you are registered. (See Tuition Fees, below.)

Students may be provisionally enrolled at the beginning of the programme **for a period of one month**, pending satisfaction of conditions relating to verification of qualifications and/or language requirements.

**Please note that in registering for this degree programme, you are agreeing to abide by the current statutes, rules and regulations of the University of London, the School of Advanced Study and the Institute at which you are studying.**

Copies of the full text of all such regulations are available from Registry and are available at:  
<http://www.sas.ac.uk/about-us/policies>

## Registration and Enrolment

### New students

All new students are expected to attend formal School of Advanced Study Registration and Enrolment on **Friday 30 September 2016**.

### Continuing students

Those of you who are not new students do not need to register and enrol on 30 September, and will find it a lot quicker to complete the registration and enrolment process at another time. Please come to the Registry during these times:

Tuesday 20 September	10.00am to 12.00pm and 2.00pm to 4.00pm
Wednesday 21 September	10.00am to 12.00pm and 2.00pm to 4.00pm
Thursday 22 September	10.00am to 12.00pm and 2.00pm to 4.00pm
Monday 26 September	2.00pm to 4.00pm
Tuesday 27 October	10.00am to 12.00pm and 2.00pm to 4.00pm

In order to re-register you will need to bring **formal (photographic) identification** – for instance, your passport, ID card, driving licence, and international students are required to bring their passports and visas and police registration document (as applicable).

You may still need to wait a while during these times, and we thank you in advance for your patience.

## **Tuition Fees**

A copy of the School's comprehensive **Tuition Fee Policy** is and is viewable here: <http://www.sas.ac.uk/about-us/policies>. Key points from the Policy are outlined below.

You should ensure that you have the funds available to pay your fees before you embark on a programme. Payment of tuition fees in full or payment of the first instalment (25%) is required prior to registration. You will not be awarded your degrees or issued with your final marks unless you have paid all outstanding tuition fees.

### **University of London E-Payment System**

The University of London E-Payment System is where payments are made in full or via instalments using the Recurring Card Payments system. The outstanding tuition fee balance is also displayed.

If you receive and accept an offer of a place before August, you will be given access to review your payable tuition fee balance. These details will be sent by email and with registration packs. If you have been offered and accept a place during or after August, these details will be sent as soon as formal acceptance is received.

### **Internally funded and sponsored students**

Those in receipt of **full** (100%) SAS, AHRC or Institute studentships /bursaries will not be required to make any payments and therefore, the timeframes and deadlines given in respect of tuition fee payment, do not apply. You will not need to login to the University's E-Payment system.

Those in receipt of **partial** SAS, AHRC or Institute studentships /bursaries will be required to pay the liable fees as outlined. You will be required pay via the University's E-Payment system. The outstanding balance displayed on the University's E-Payment system will take into account all studentships /bursaries.

### **Externally funded and sponsored students**

You accept responsibility for payment of fees even though a sponsor may in fact pay your fees. If you have sponsorship /scholarship funding (except those in receipt of SAS, AHRC or Institute studentships /bursaries) please ensure that the Registry is furnished with written confirmation of any award and payment arrangements -- dates, contact details. If you are privately sponsored you will need to forward the login details for the University's E-Payment system to your sponsors and for ensuring that your fees are paid. If you have a Professional Career

Development Loan, please submit a copy of your loan confirmation to the Registry. Your loan confirmation letter should contain full account details and a breakdown summary of payment schedule.

Please note that you retain liability for payment of your entire fee should the sponsoring body default on a payment, or withdraw sponsorship.

### **Research students' continuing fees**

Research students are reminded that they should expect their fees to be subject to an annual uplift for their second and subsequent years of study.

### **Fee payment**

Payment is made via the University's E-Payment system, see link to [University of London E-Payment system](#).

To login to the University's E-Payment system you will need your applicant/student number and personal email address. Your applicant/student number can be found on your offer letter (new students) or student card (continuing students). Please use the personal email address to which this registration information has been sent.

Fees can either be paid in **full** or in **four equal instalments**, payable on the following dates:

- Instalment 1: by **12 September 2016**
- Instalment 2: 30 November 2016
- Instalment 3: 31 January 2017
- Instalment 4: 31 March 2017

### **Defaults on fee payments**

If you default on any payment, you will be given 5 days to contact Registry before we take action. Continued registration and progression from one year to the next is conditional on the appropriate fee being paid. If you are in financial difficulty, you should contact Registry. Where there are financial problems we will try to come to an alternative financial arrangement.

Continuing students who have previously had a non-standard payment plan agreed with Registry, but who have later defaulted on that plan, will not be granted another non-standard payment plan.

### **Help with making a payment**

If you have any queries about the E-Payment system, you can contact the relevant team in the following ways:

Financial Services Unit (FSU)

Email: [credit.control@london.ac.uk](mailto:credit.control@london.ac.uk)

Phone: 0207 862 8255 - Monday to Friday between the hours of 10.00am and 4.00pm

Please contact **FSU** in the following instances:

- Cancel the Recurring Card Payment Plan
- Change your Credit/Debit Card details

- Unable to access or log on to the system
- If a payment has been taken in error or has not been taken as expected

SAS Registry

Email: [sas.registry@sas.ac.uk](mailto:sas.registry@sas.ac.uk)

Phone: 0207 862 8873 / 8661

Please contact the **SAS Registry** in the following instances:

- Have a query with the tuition fee amount being charged
- To discuss a change to your current enrolment status
- To discuss an alternative payment plan

## RESOURCES AND FACILITIES

### SAS Student ID Card

As a School student, you will be issued with a multi-purpose identity card. It serves as a membership ID card for your own Institute library, and the barcode, once validated, will carry information on borrowing rights in all the Senate House Libraries ([www.urls.lon.ac.uk](http://www.urls.lon.ac.uk)); it will also enable offsite access to a range of electronic resources provided by the libraries. The card serves as an ID card for entry to Senate House, for access to the University of London Union, and, outside the University, to enable you to benefit from discounts offered by suppliers, etc.

ID cards will be issued on registration. Lost cards carry a £5 replacement fee. ID cards are issued by the Registry.

## Email

### SAS email accounts

SAS email addresses follow the format [firstname.lastname@postgrad.sas.ac.uk](mailto:firstname.lastname@postgrad.sas.ac.uk). You should check your SAS email account regularly as academic and administrative staff will use them as the primary mode of contact. SAS email can be accessed remotely via the web at: <https://login.microsoftonline.com>. You will be prompted as follows:

Username (Email Address): [firstname.lastname@postgrad.sas.ac.uk](mailto:firstname.lastname@postgrad.sas.ac.uk)

Password: **provided during registration** (case sensitive)

You will then be redirected. Once you are successfully re-directed, you should see the **'Secure Logon for Office 365 page'**. Please enter the first part of your username (firstname.lastname), NOT your email address, and password and click on logon or press enter.

### To access computers and SAS network

When you access online areas such as the SAS VLE ([studyonline.sas.ac.uk](http://studyonline.sas.ac.uk)), you will be prompted to enter the following:

Username: [firstname.lastname](#)

Password: **provided during registration** (case sensitive)

### Passwords

Your two passwords for email and to access the SAS network (VLE) will initially be set as the same. You will not be required to change either of your passwords at any point, but you are advised to do so periodically for security reasons. Please note that changing your network password will not affect your email password, and vice versa. If you choose to change your password you are strongly recommended to do so on both systems to avoid later confusion.

You should never provide your password to anyone else. This includes any request made by telephone or email claiming to be from the IT department. If you suspect someone else knows your password, you must change it immediately.

### Support

If you need any help, please contact [sas.support@postgrad.sas.ac.uk](mailto:sas.support@postgrad.sas.ac.uk) or [service@london.ac.uk](mailto:service@london.ac.uk). But please do provide as much information as possible when contacting the support team, including:

- what are you trying to access (emails or VLE ...);
- describe the problem if it is more than a password resetting issue
- your student ID
- your institute

Password reset requests will only be considered if emailed from either your [postgrad.sas.ac.uk](mailto:postgrad.sas.ac.uk) address or the private email address you supplied prior to registration, and must include your student ID and name of institute. For security reasons you are not permitted to request a password reset by telephone. For more information: <http://www.sas.ac.uk/896.html>.

### Proper

### usage

By enrolling with the School you agree to abide by the Students' Code of Conduct for the use of University of London IT equipment and systems (see <http://www.sas.ac.uk/about-us/policies>). You must also comply with the JANET acceptable use policy (<https://community.ja.net/library/acceptable-use-policy>) when using your SAS email address and SAS networks.

### Expiry

Your email account will be disabled on the last day of the year in which you graduate, and a new School alumni email account will be automatically created, with the domain suffix [sasalumni.net](mailto:sasalumni.net) (thus [first.name.lastname@sasalumni.net](mailto:first.name.lastname@sasalumni.net)). A reminder will be circulated a few months before Graduation, giving you enough time to transfer all the contents of your mailbox into the alumnus mailbox, if you wish, and help to do so.

## COMPUTER RESOURCES

### Digital Resource Centre

You will have access to the public workstations located in Senate House Library. The Digital Resources Centre on the 4th floor in the Middlesex South reading room contains 50 workstations. These resources are available to all members and users of the Senate House Library and Institute staff and students of the School of Advanced Study. Workstations are also located in the Special Collections reading room.

All of these workstations provide access to:

- Library webpages, catalogues and databases provided by the Senate House Libraries
- A choice of Internet browsers
- Networked information resources on CD
- An extensive range of multimedia software catering for audio, video and DVD (each workstation is equipped with headphones)
- Self-service printing (to be printed off by the user at any dual purpose networked copier/printer in the Library)
- Microsoft Office 2007 software
- Bibliographic management software

Students can use a variety of removable media to manage documents and data such as data CD/DVD, memory sticks etc. The desktops provide USB and firewire ports.

### Specialist software

Specialist research software is available in the Library Training Suite (SPSS, NVivo etc), and in the Senate House study space on the second floor of Senate House, Room 265. Disability-access hardware and software is also available. Priority is given to those who wish to use these pcs for specialist work.

### Virtual Learning Environment / Study Online

The School has a Virtual Learning Environment (VLE), which is a dedicated area for students enabling them to access training resources and to interact with fellow students across the School.

An introduction to the VLE will be given at Institute inductions. Links to the VLE/Study Online are available on individual Institute websites, the postgraduate study pages of the SAS website or via <https://studyonline.sas.ac.uk/login/index.php>. You will need to enter your password and username (given at registration) to access it.

## Library resources

### IALS Library

The IALS library is unique in its wealth of materials related to any area of law. In view of the courses already offered at the IALS in the field of legislative studies, the library is already well equipped to accommodate the needs of the MA. The resources of other law libraries within the University may also be used.

As the IALS library is a reference library, students are entitled to borrow books overnight only. However, from the end of May until the end of September, LLM students acquire research status and are entitled to borrow the same number of books allowed to researchers of the IALS.

### 2016-17 IALS Library Opening Hours

From **Monday 3<sup>rd</sup> October 2016 to Sunday 11<sup>th</sup> June 2017** IALS Library will open as follows:

**Monday to Friday: 9.00am to 11.00pm**

(Current IALS Library card holders only after 7.45pm)

**Saturday: 10.00am to 8.30pm**

(Current IALS Library card holders only after 5.15pm)

**Sunday: 12.30pm to 8.30pm**

(Current IALS Library card holders only)

During Easter 2017 the Library will be open to readers from **10.00am to 8.30pm** on Thursday 13<sup>th</sup> April, and then close for Good Friday, Easter Saturday, Easter Sunday and Easter Monday. The Library will re-open from **10.00am to 8.30pm** on Tuesday 18<sup>th</sup> April 2017.

We will be open from **10.00am to 8.30pm** on Bank Holiday Monday 1<sup>st</sup> May 2017 and on Bank Holiday Monday 29<sup>th</sup> May 2017.

**From Monday 12<sup>th</sup> June to Friday 15<sup>th</sup> September 2017** IALS Library operates the following summer vacation hours:

Monday to Friday: 9.00am to 8.00pm

Saturday: 10.00am to 5.30pm

Please note that these extended hours apply only to IALS Library. All IALS academic rooms close at 8.00pm on weekdays and all day on Sundays.

## **Senate House Library**

### ***Opening hours, termtime:***

Mon-Thursday: 9.00-21.00

Friday: 9.00-18.30

Saturday: 9.45-17.30

### ***Opening hours, vacation:***

Mon-Friday: 9.00-18.00

Saturday: 9.45-17.30

Find out more about [Library opening hours](#) (vacations and public holiday closures).

## **Disabled students (see also below: Disability)**

The Library offers extra services for disabled students:

- the option of using a proxy borrower to find and borrow items
- a fetch request service
- and extended loans.

Find out more about [services for disabled students](#).

## **SAS Institute Libraries**

Seven of the SAS Institutes have internationally renowned research libraries. You can access any of the Institute libraries, on presentation of your ID card. Different borrowing rights apply at different Institutes. For further information, see the Institutes' websites (available via [www.sas.ac.uk](http://www.sas.ac.uk)).

## **The University of London Library Access Agreement**

The University of London Library Access Agreement enables the School's masters students to access nearly all of the libraries of the institutions which make up the federal University of London ([www.lon.ac.uk](http://www.lon.ac.uk)) on production of their SAS cards. The value of this arrangement to University of London students is that it offers you access to books and other library materials in subject areas that are often unrivalled and which have been built over many years

For more information on the libraries the agreement allows access to and/or to view a copy of the agreement, please visit: [www.london.ac.uk/libraries\\_agreement.html](http://www.london.ac.uk/libraries_agreement.html).

## **School of Oriental and African Studies (SOAS) Library**

SAS students are able to use the nearby SOAS library and take advantage of their extended opening hours. SAS students will need to apply for a SOAS library card from the SOAS membership desk and will need to produce their SAS ID card in order to do so. (The SOAS membership desk opening hours are Monday-Friday 09.00 to

18.55 and 10.30 to 17.45 on Saturday.)

There is no charge and no restriction on access, except to IT facilities which are confined to SOAS students. Access to some databases is available through the OPACS.

As fully registered external members, SAS students will be able to take advantage of the longer opening times providing they have applied for and received their SOAS Library card and enter SOAS before 20.00 Monday to Thursday, before 19.00 on Fridays and before 18.00 on Saturdays and Sundays.

### **Other Libraries**

SAS students can gain access to many other libraries in London that have close academic links with the School including the British Library, the University of London Institute in Paris, the Wellcome Library for the History of Medicine, the Society of Antiquaries of London, and the Bibliographical Society.

The combined catalogue of CURL (the Consortium of University and Research Libraries) which is available at [www.copac.ac.uk](http://www.copac.ac.uk) and through a direct link from the ULRIS catalogue, provides a convenient way of searching many library catalogues simultaneously.

Access arrangements to other libraries vary considerably, and it is always advisable to check these before visiting. Your institute or the Registry can provide proof of student status where necessary.

Currently, the SCONUL access scheme is only available to the School's research students.

### **Library computer resources**

Please note that you are required to observe instructions on virus protection and the Janet Acceptable Use Policy, available at: <https://community.ja.net/library/acceptable-use-policy> Computer use is monitored and the downloading of material of an offensive nature will be treated extremely seriously.

Everyware at Senate House Library. SAS students have free access to the Library's Everyware service (<http://www.senatehouselibrary.ac.uk/using-the-library/library-services/everyware/>). With Everyware (<http://www.senatehouselibrary.ac.uk/using-the-library/library-services/everyware/>) you can borrow Apple MacBook Airs, MacBook Pros, iPads and Windows notebooks for use in the Library. Everyware notebooks have Microsoft Office installed.

Senate House Library provides free WiFi (<http://www.senatehouselibrary.ac.uk/using-the-library/self-service/connect-to-wifi/>) throughout its spaces and collections to connect your Everyware device or your personal notebook to the internet. As a SAS faculty and student member of the Library, you can use your name and SAS card number to login to an extensive range of academic databases

(<http://www.senatehouselibrary.ac.uk/our-collections/databases-and-eresources/>). All School spaces (Senate House (second and third floors)) are fully wifi enabled.

Documents can be printed out in the Library from any device with an internet connection. Find out more about your printing options (<http://www.senatehouselibrary.ac.uk/using-the-library/self-service/copying-and-printing/>).

## **SAS-Space e-repository**

SAS-SPACE - <http://sas-space.sas.ac.uk/> - is the digital repository for the School of Advanced Study. The mission of SAS-SPACE is to provide a stable, well-managed, permanent archive for digital scholarly and research materials of enduring value produced at, or in association with, the School. SAS-SPACE is also the platform for digital collections and archives of individuals, scholarly societies and other bodies associated with the School.

All SAS students are required to submit the final version of their thesis/dissertation electronically. If a dissertation receives a mark of merit or distinction its deposition in SAS Space is mandatory (except in special circumstances). Detailed guidance as to how to do this will be given to you in due course.

## **Student Central - formerly University of London Students' Union (ULU)**

Student Central has replaced ULU and is therefore no longer a students' union. They no longer have student governance including student officers, elections and senate. However, you will continue to be represented by the [National Union of Students](#). The current staff team will still continue to offer a whole range of facilities and activities including cafes, bars, live music and events, a health and fitness studio and the largest swimming pool in central London. It is still free to join.

Student Central continues to host over 40 clubs and societies, from Archery to Canoe Polo, Hip Hop to Debate. The full list can be accessed here: <http://www.student-central.co.uk/activities/sportssocs/>.

EnergyBase, is Student Central's fitness club and provides members with over fifty fitness activities, for all levels of ability and commitment, with its 53-station fitness suite, 33m swimming pool, fitness classes, sprung sports hall and multi-purpose studio. You can join Energy Base for an additional fee, and as a SAS student you will get a discount.

Student Central is owned by the University of London and run by its Chief Executive Officer, Julie Adams, and her dedicated team who are happy to help you with any questions. Student Central, Malet Street, London, WC1E 7HY, T: 020 7664 2000; E: [general.studentcentral@london.ac.uk](mailto:general.studentcentral@london.ac.uk)

## Student Representatives' Committee

Institute student representatives – Research and Masters - sit on the School Student Representatives' Committee, which deals with student matters and events throughout the School. Institutes will consult their student body at the start of the autumn term to seek representatives. Members of the SAS Student Reps' Committee sits on SAS and School committees and acts as a representative of the whole School student body. There are a number of other representative roles Research Students are invited to perform, such as serving on the Research and Ethics Committees.

Training will be offered to those elected as student representatives.

## Careers

The Careers Group, University of London, provides a specialised service for graduates and equivalent level professionals. In addition to helping individuals with their careers, The Careers Group also provides consulting and coaching services to a broad range of organisations. They help any SAS postgraduate student interested in developing their careers, either within their current field of work or in something completely new. They offer:

- **Workshops:** Previous topics include Career Planning: Academic and Non-academic careers, PhD applications, CV's and Applications, Academic CV's and Applications, Academic and Non-academic Interviews and Demystifying the UK job market. Contact Christine Weir for further details (+44 (0)20 7862 8823, E: [christine.weir@sas.ac.uk](mailto:christine.weir@sas.ac.uk)).
- **1:1 20 minute careers advice appointments:** These are best suited to brief guidance regarding career direction, job hunting advice, CV advice and application advice.
- **1 hour in-depth career discussions:** In-depth career discussions can help you to evaluate and review your career development to date; assess your current situation; clarify your career objectives; review job-hunting strategies and formulate new ones. Students are required to attend a 20 minute appointment before booking an In-Depth Career Discussion and will then need to complete a confidential Career Discussion Form. This will allow the Careers Advisor to gain an understanding of your situation prior to the consultation.
- **1 hour Practice Interview Preparation:** To improve your interview technique and receive feedback from a Careers Adviser. You will need to complete a form detailing the jobs you are applying for, supply a copy of the application form or CV that you have submitted and a copy of the job advert or description.

For more information visit The Careers Group premises on the first floor of Senate House, phone 020 7863 6060 or email [c2education@careers.lon.ac.uk](mailto:c2education@careers.lon.ac.uk)<<mailto:c2education@careers.lon.ac.uk>>. You can also speak to Christine Weir: 020 7862 8823 or [christine.weir@sas.ac.uk](mailto:christine.weir@sas.ac.uk).

## Intercollegiate Halls of Residence

SAS has been allocated a small number of places in the University of London's Intercollegiate Halls of Residence for full-time students who have been offered a place of study. For further details see <http://www.halls.london.ac.uk/> , or contact the Registry ([sas.registry@sas.ac.uk](mailto:sas.registry@sas.ac.uk)) for details of the process. You are encouraged to submit applications for Halls of Residence as early as possible.

Late-Summer places: from mid-September each academic year students may apply directly to the Residences for unallocated places. The Registry will circulate further information to students who are not offered a quota Halls place in due course.

There is a separate application process for disabled (specially adapted), couples and family-sized Halls accommodation, which fall outside of the School's quota. Please contact the Registry for advice on the application process, or the Intercollegiate Halls Accommodation Bureau (<http://www.halls.london.ac.uk/>) direct:

Phone: (+44) (0)207 822 333  
Email: [info.halls@london.ac.uk](mailto:info.halls@london.ac.uk)

Halls of Residence can also often offer short-term accommodation to students and visitors over the summer.

## Travel

### 18+ Student Oyster photocard

Full-time SAS students can apply for a TfL 18+ Student Oyster photocard. Part-time postgraduate students are not eligible to apply unless they are receiving financial help from the Access to Learning Fund (see below).

A Student Oyster card entitles the holder to 30% savings on the cost of adult Travelcards and Bus & Tram Pass season tickets valid for 7 days, one month or longer periods of up to one year. There is a £10 fee for the photocard.

You can apply for your 18+ Oyster photocard at <https://photocard.tfl.gov.uk/tfl/gotoApply.do?type=student&from=home>

To complete your application you will need:

- Your seven digit unique student number (located on the front of your SAS student card)
- A digital photograph
- A debit or credit card to pay the application fee

Please note that the establishment name you should select when making your application is 'School of Advanced Study' (not your institute of study). Once you have made your application, it goes to the SAS Registry for online verification. This may take a few days.

## Funding your studies

### Grants and Studentships

#### AHRC studentships

The School, with King's and UCL, is part of the London Arts & Humanities Partnership ([www.lahp.ac.uk](http://www.lahp.ac.uk)) and through this offers studentships under the Arts and Humanities Research Council (AHRC) scheme. LAHP studentships, awarded on a competitive basis, cover fees and maintenance, and are available to UK and EU full and part-time students. As well as doctoral students about to enter their first year of study, those about to start their second year of doctoral study may also apply. Further details on the LAHP competition are available from the [LAHP website](#), or from the LAHP office based in Senate House ([info@lahp.ac.uk](mailto:info@lahp.ac.uk)).

#### Access to Learning Fund

The School receives a certain amount of money each year from the government to alleviate unexpected financial hardship for **home** students only. The Access to Learning Fund (ALF) provides discretionary financial assistance—particularly to meet extra costs that cannot be met from other sources of support, but it cannot be used to pay towards tuition fees. Students should have explored other sources of funding for before applying. Students can apply for help from the Fund at any time during the academic year including the summer period. Completed applications will be considered on receipt and decisions will be announced as soon as possible. Grants from the Fund are non-repayable. Forms and further information are available from the Registry ([sas.registry@sas.ac.uk](mailto:sas.registry@sas.ac.uk)).

Disabled students are encouraged to apply to ALF, especially where the DSA is unable to meet particular costs or where those with dyslexia need help towards the cost of their preliminary diagnostic test prior to applying for a DSA. More information is available at: [www.dfes.gov.uk/studentssupport/students](http://www.dfes.gov.uk/studentssupport/students).

#### Professional and Career Development Loans

A Professional and Career Development Loan (PCDL) is a deferred repayment bank loan to help you pay for vocational learning or education. Loans are offered at reduced customer interest rates. You may be able to borrow between £300 and £10,000, which can be used to cover up to 80% of tuition fees (100% if you have been unemployed for three months or more at the time of application) plus any related expenses – including books, childcare, and travel. Visit [www.direct.gov.uk/en/EducationAndLearning/AdultLearning/FinancialHelpForAdultLearners/CareerDevelopmentLoans/index.htm](http://www.direct.gov.uk/en/EducationAndLearning/AdultLearning/FinancialHelpForAdultLearners/CareerDevelopmentLoans/index.htm), or call 0800 585 505. The School's **Learning Provider Number is 2901**.

#### Further information

Additional sources of funding for UK, EU and overseas are listed here: <http://www.sas.ac.uk/graduate-study/prospective-students/funding/external-funding-sources-eu-and-overseas-students>. There are a number of useful sites which collate information on smaller, more niche funders: <http://www.sas.ac.uk/graduate-study/prospective-students/funding/further-funding-information-sources>.

## US Federal Loans

### William D Ford Federal Direct Loans

The School of Advanced Study participates in the Federal Direct Loan programme: <https://studentloans.gov/myDirectLoan/index.action>. Unsubsidized Loans and Plus Loans are available through the Direct Loan programme operated by the US Education Department. Direct Loans are educational loans to assist US citizens with the costs of studying. Unsubsidized Direct Loans should be considered first as this is usually the cheapest way of borrowing. If needed, additional funding should then be considered by applying for a Direct Plus Loan. The maximum total borrowed from Direct Loans **cannot** exceed the annual maximum Cost of Attendance as set by the School. The School's Direct Loan Federal Code is **G06696** listed under 'School of Advanced Study – University of London'

## State benefits

The majority of students cannot apply for benefits. Exceptions may include lone parents, students with disabilities, pensioners, and part-time students on low incomes. Students should contact their local benefits office for more details or browse the Direct Gov web site at [www.direct.gov.uk/en/index.htm](http://www.direct.gov.uk/en/index.htm).

## Council Tax

The Council Tax is a tax payable on dwellings. If you are a full-time student you are exempt from paying Council Tax. You will not have to pay Council Tax if you live in:

- University Halls of Residence;
- A shared house or flat where all residents are students.

If you live in a bedsit or rent a room from a landlord, the landlord should be responsible for payment of Council Tax.

If you live in a house with non-students, Council Tax will probably apply and the other occupants may wish to pass on some of the cost to you.

If you are a full-time student living at home with a lone parent your parent should be able to claim 25% discount on their Council Tax. If you are a full-time student living with both parents there will be no reduction to their Council Tax bill.

Registry can provide full-time students with written confirmation of student registration and eligibility for Council Tax exemption – please just ask us.

## **Student welfare: advice and guidance**

### **Academic advice**

Programme tutors will normally be responsible for guiding you through your degree and helping you with any personal or administrative problems. If this proves to be unsuitable, you may contact Registry or your Institute Manager who will be able to advise on an alternative.

### **Personal safety**

London is one of the most interesting and vibrant capital cities in the world; it is also one of the safest. However, as in any large, busy city, crime is a problem so you do need to take care about personal safety and looking after your belongings. Being so centrally located, the area surrounding the University of London is susceptible to crime. Please see <http://www.cityoflondon.police.uk/CityPolice/Advice/personalsafety/> , which gives useful advice and tips on how to stay safe.

### **Mentoring and Counselling**

The School offers mentoring sessions for students who are undergoing periods of academic pressure or are dealing with unexpected and adverse life events that are affecting their emotional wellbeing. Mentoring sessions are conducted with colleagues from Equality Focus in a private and confidential setting. Counselling is more appropriate for emotional problems. The counsellor (again from Equality Focus) will not tell you what to do, but will, instead, provide a non-judgemental forum in which you can discuss and think about your difficulties. Mentoring is more active and is better suited to the resolution of academic problems (e.g. issues of timetabling, dissertation planning, exam preparation, etc). To make a counselling or mentoring appointment, please contact the Registry who can refer you or alternatively contact Sharon Renkema at Equality Focus directly (tel 07896 599 657); [sharon@equalityfocus.co.uk](mailto:sharon@equalityfocus.co.uk)).

Students may also find the following contact numbers useful.

For general welfare advice: Nightline (6 pm to 8 am): 020 7631 0101

For legal advice

Citizens' Advice Bureau: [www.adviceguide.org.uk](http://www.adviceguide.org.uk). The local bureau for the School is: 3rd Floor, Holborn Library, 32-38 Theobalds Road, London, WC1X 8PA, tel: 08451 202965.

Community Legal Service Direct: [www.clsdirect.org.uk](http://www.clsdirect.org.uk): enables users to find providers funded by the Legal Services Commission (LSC)

For advice on debt: National Debtline: 0808 808 4000; [www.nationaldebtline.co.uk](http://www.nationaldebtline.co.uk)

For counselling services

Waterloo Counselling Service: <http://cypdirectory.southwark.gov.uk/AtoZEntry.aspx?id=607>

Offers general counselling services as well as multi ethnic counselling  
Tel 020 7928 3462; Barley Mow Clinic, Frazier Street, London, SE1 7BD

Please note that there is a cost for this service (approximately £10 per session for students)

#### *For advice on mental health issues*

Mind: [www.mind.org.uk/](http://www.mind.org.uk/): a national charity which can provide help and advice to students experiencing any form of mental distress

Depression: [www.studentdepression.org](http://www.studentdepression.org) and [www.Cwmt.org](http://www.Cwmt.org) have helpful information and advice

If you have longer term mental health difficulties, you may wish to consider declaring this as a disability. This may thus entitle you to counselling from the School's disability advisors (see p 14 below). This counselling is specifically geared to assisting you complete your studies successfully.

### **Health**

You are advised to register with a local doctor (GP surgery). All students including overseas students (and their dependants) are entitled to health care under the NHS. If you are living near here, you may wish to register at one of the GP surgeries nearby:

- **Gower Street Practice**, 20 Gower Street London WC1E 6DP, telephone 020 7636 7628
- **Bedford Square Medical Centre**, 60 Bloomsbury Street, London, WC1B 3QU, telephone: 020 7580 7128
- **Brunswick Medical Centre**, 39 Brunswick London WC1N 1NF; telephone 020 7837 3811

If you live outside the catchment areas for the GP surgeries listed above, or wish to choose another, you should visit the NHS Choices website, where you can search for local doctors and dentists: [www.nhs.uk/servicedirectories/Pages/ServiceSearch.aspx](http://www.nhs.uk/servicedirectories/Pages/ServiceSearch.aspx).

### **Overseas students**

There are several sources of information and support available for overseas students.

- UK Council for International Student Affairs (UKCISA);, [www.ukcisa.org.uk/](http://www.ukcisa.org.uk/), Tel: outside the UK +44 20 7107 9922 | inside the UK is 020 7107 9922.
- British Council Education UK: [www.britishcouncil.org](http://www.britishcouncil.org), [www.educationuk.org](http://www.educationuk.org), Tel: 0161 957 7755
- British Refugee Council: [www.refugeecouncil.org.uk](http://www.refugeecouncil.org.uk), Tel: 020 7346 6700.
- International Students' House, 229 Great Portland Street, London, W1W 5PN, [www.ish.org.uk](http://www.ish.org.uk), Tel 020 7631 8300.

### **Overseas students' obligations**

You will be aware that stringent UK immigration regulations have been introduced over recent years. International students need to apply for Tier 4 General Student Visa for enter to UK. For detailed information on the student visa application process, please visit the UK Visa and Immigration (UKVI) website: <https://www.gov.uk/tier-4-general-visa>

Under this system, the School has a number of legal responsibilities in order to fulfil its obligations as a student sponsor. If you enter the UK on a student visas you should be aware of these. The UKBA website lists both the sponsor's and student's obligations in full, but please note that we are legally required to

- keep copies of students' passports and visas;
- keep up-to-date contact details for students; and
- inform the UKVI if a student fails to enrol on their programme, stops their studies or misses 10 expected 'contacts' on their programmes of study without reasonably granted permission
- maintain contact with the student.

## **Equality and diversity**

The School of Advanced Study aims to provide an environment where everyone can access its programmes and activities – conferences, workshops and seminars, library provision and teaching programmes. The School of Advanced Study is proud of the diversity of its community and is committed to ensuring all of our staff and students are treated with dignity and respect. We welcome all students who are academically qualified and motivated to benefit from the programmes we offer through the member Institutes.

The School is committed to treating all people with equally, irrespective of any of the 'Protected Characteristics' as defined by the Equality Act 2010. The protected characteristics are age, disability including mental health, gender re-assignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

The School is covered by the University of London's key equality policies, for example the Equal Opportunities Policy and other such schemes. However, to ensure that the School is meeting all the legislative requirements and in line with best practice we have developed our own Statement and Action Plans.

The School believes that engagement in the development of equality policies and related action plans are very important. We uphold the principle that all students and members of staff have a right to be involved in matters that affect them. So we are always keen to hear your feedback. If you would like to talk to someone in confidence please contact the School's Registry Services Manager: Kalinda Hughes [kalinda.hughes@sas.ac.uk](mailto:kalinda.hughes@sas.ac.uk); Registry, School of Advanced Study; Second Floor, South Block, Senate House, Malet Street, London, WC1E 7HU

## Disability

The School of Advanced Study has an external Disability Officer. Students who have notified the School of a disability should have received a Pre-Entry Support Needs form (new students) or Support Needs Form (continuing students) to complete. If you have not received a form, please contact the Registry as quickly as possible. If you would like to speak to our Disability Officer direct, please contact Sharon Renkema at Equality Focus (tel 07896 599 657); [sharon@equalityfocus.co.uk](mailto:sharon@equalityfocus.co.uk)).

Please:

- let us know about your needs in good time so that we have the appropriate opportunity to address those needs
- give both positive and negative feedback so that we can improve our services. Let us know if the recommendations for your support are not carried out and you do not receive alternative format handouts, for example
- let us know if your situation changes and you need more, or different kinds, of support.

### Access to Institutes and offices

Most of the Institutes and offices of the School are located in Senate House. The exceptions are the Institute for Advanced Legal Studies (17 Russell Square) and the Warburg Institute (Woburn Square).

Senate House is a listed building and as such there are some limitations to the alterations that can be made. However, wheelchair users and those with reduced mobility are able to access all necessary facilities although it may not always be easy for them to do so in a fully independent way.

A number of teaching and meeting rooms in Senate House are equipped with a fixed induction loop system for hearing-impaired students, and there is a mobile induction loop system for use elsewhere.

The Institute of Advanced Legal Studies and the Warburg Institute are in nearby buildings which have ramps, lifts, and accessible toilets. Again, although wheelchair users and those with reduced mobility are able to access all necessary facilities, it is not always easy for them to do so in a fully independent way.

It is possible to arrange for new students with impaired vision to be accompanied both within the building and to the tube station or bus stop, until they have become familiar with these routes. The School's external disabilities advisors can help arrange this in conjunction with Camden's Sensory Disabilities Team.

### Senate House Library (see also above)

Charlotte McDonough ([Charlotte.mcdonaugh@london.ac.uk](mailto:Charlotte.mcdonaugh@london.ac.uk)) is the Senate House Library Disability Officer. She and SHL in general are able to do the following for disabled student library users:

- A retrieving book service (that is, getting books from shelves);
- Arranging borrowing rights for proxies;

- Membership cards for people supporting the disabled user, or their carers;
- Extended loans.

### **Using Computers**

Disabled students who qualify for the DSA may receive support for computer purchase. Remote email access and web access to the Library's electronic research resources and journals are available. Advice on personal computer adaptation can be obtained free from AbilityNet ([www.abilitynet.org.uk](http://www.abilitynet.org.uk)). AbilityNet will also carry out assessments of individual need. There is specialist software available for visually impaired students.

### **Transport**

Most people access Senate House and the Bloomsbury area by public transport. Some disabled people living in the London area may be eligible for a London Transport Freedom Pass ([www.freedompass.org](http://www.freedompass.org)) which allows free travel on public transport; the borough where you live will be able to tell you if you are eligible.

Transport for London's Access and Mobility unit has information about schemes such as Dial-a-Ride and Taxicard for subsidised door-to-door transport for people who have serious mobility impairment and difficulty in using public transport, as well as an extensive range of guides: <http://www.tfl.gov.uk/tfl/gettingaround/accessibility-guides/default.aspx>

### **Emergency Evacuation**

If you have a disability which might cause delay in recognising or responding to an emergency alarm, a personal emergency evacuation plan will be agreed. If your disability is likely to affect your ability to evacuate the building, we should notify your tutors of your evacuation plan, so that others know how to help you in an emergency.

### **Residential Accommodation**

Disabled students are eligible to apply for accommodation in the University of London Intercollegiate Halls, a number of which have a quota of specially-adapted rooms for students with disabilities.

### **Disabled Students' Allowances (DSA) Arrangements**

Home students, and some EU students, are eligible for the Disabled Students' Allowances (DSA). There is one DSA allowance for postgraduate students to meet disability-related programme costs of up to £10,362 (2016-17). More information is available at: [www.direct.gov.uk/en/DisabledPeople/EducationAndTraining/HigherEducation/DG\\_10034898](http://www.direct.gov.uk/en/DisabledPeople/EducationAndTraining/HigherEducation/DG_10034898) . The allowances are not means-tested and there is no age limit.

The DSA is administered by Student Finance England or Research Councils. Equality Focus can assist students in applying for it, and can help to arrange the study needs assessment which is required to access the allowances.

## **Graduation**

Students graduating in 2017 will be notified of their graduation ceremony date in due course. Detailed information is sent to students following successful completion of the examination.

## **ABOUT THE LLM in ICGFREL**

### **DURATION OF THE COURSE OF STUDY**

Full-time: one calendar year. Part-time: two calendar years.

Students must complete six taught modules, including two core modules, and the dissertation. Part-time students are to take three modules in their first year and three modules and the dissertation in their second year. Part time students should take at least one core module each year.

Students must pass the LLM within two years from the completion of their prescribed period of study. This period of two years may be extended at the discretion of the School's Academic Policy and Standards Committee.

Candidates are bound by the regulations in force at the time of their entry to the examination. However, no amendment to the regulations for a programme will be authorised later than the commencement of classes or other formal tuition in the programme for the year in which the amendment is to take place.

### **AIMS OF THE PROGRAMME**

The *LLM in International Corporate Governance, Financial Regulation and Economic Law (ICGFREL)* is an advanced level programme examining corporate governance, financial regulation and economic law from an international and comparative perspective with particular focus on European and UK corporate governance regulation and practices, and international economic and financial law and regulation.

Applications are particularly welcomed from those with university educational background in the social sciences, law, and management/business and related areas of the arts and humanities and sciences. Also, those with professional experience working in financial service firms and corporations would find the course useful for studying how law and regulation affect management practices, corporate governance and business strategy. An important part of the programme will address the public policy aspects of financial regulation and corporate governance and therefore the course would be suitable for those with experience working in government and state agencies. Those with a background in university lecturing in business schools, law schools and social science faculties would find the programme to be particularly useful in enhancing their qualifications to teach corporate law/governance, financial law/regulation, international political economy and international economic law and organisations. Applications are particularly welcomed from overseas candidates and from post-graduate students and lecturers/assistant/associate professors from around the world.

The interdisciplinary nature of the course means that applicants are not required to have a prior law degree, nor a professional qualification in law. The programme is designed to be completed over one year (full-time) with two eleven-week terms of lectures (October-December; January-March), plus one term of independent study and supervision to write a dissertation on a topic to be approved by the programme director. The programme consists of two core courses, which are mandatory, and four elective modules. Each candidate will also be required to write a short dissertation (maximum 15,000 words, including footnotes, but excluding bibliography and annexes).

Most of the teaching is carried out by full-time academics, while a few courses will be taught by legal, regulatory and corporate practitioners. The academic faculty for the course consists of leading scholars in the field of international financial law/regulation, European and UK economic and financial law/regulation, corporate law,

governance and practice, and the extraterritorial aspects of US economic law. Faculty members have diverse research and practical background, with long record of teaching in this field. The faculty members who have joined our legal team held or currently hold academic positions in the renowned Universities in the UK and abroad teaching team. Experienced practitioners, of whom some are partners in leading international law firms, will teach on some modules and there will be some guest lectures given by eminent lawyers and jurists.

### **INTENDED PROGRAMME OUTCOMES, AND HOW THEY ARE ACHIEVED**

The programme is intended partly to widen the students' knowledge of corporate governance, financial regulation and international and comparative aspects of economic law and financial regulation. It is intended to introduce students to the basic principles and theories that underlie this area of study. The course aims to stimulate broader strategic thinking of the goals of corporate and financial regulation and how it affects business strategy and overarching public policy. The analysis of the economic and legal principles will be applied to case studies (including recent US and UK bank failures) along with other practical applications. Students will have access to the outstanding resources of the IALS and University of London libraries and the nearby British Library. The Russell Square and Bloomsbury areas will provide a stimulating environment with many restaurants, theatres, museums, pubs and other cultural amenities that make London a unique and first-class international city.

The programme is ideal for the intellectually aware student who wants to combine a year of post-graduate academic study with a practical agenda of interaction and learning from leading practitioners and regulators and corporate specialists.

### **KNOWLEDGE AND UNDERSTANDING**

Students will acquire:

A detailed knowledge of those areas of international economic and financial law, institutional aspects of European and UK financial regulation, institutional aspects of international financial regulation and related theories of international law and global governance and a practical understanding of the role of international economic organisations. Students should gain a thorough knowledge of the importance of corporate governance and financial regulation for the broader economy and the important role of public policy. They will develop an understanding of international corporate governance and financial regulation principles from a more holistic and interdisciplinary perspective. They will develop an understanding and critical perspective of the UK principles-based regulatory approach, which will be compared to the US rules-based regulatory approach. Moreover, they will have an understanding of the important institutional and legal and regulatory developments at the European level and the implications of the 2007/08 World Credit Crunch on global and European financial markets. Students will also develop their research and writing skills.

Students will also acquire a sense of having gained in depth knowledge of corporate governance from a comparative, European and international perspective and its relationship to the operation of financial markets and the important role of financial regulation. This specialised postgraduate degree programme is designed to achieve an enhanced understanding of the subject from an academic perspective and to provide students with an opportunity to interact with practitioners so that they can provide useful information to advance their career objectives in corporate governance, regulation or financial market practice.

## **COURSES AND BRIEF DESCRIPTIONS:**

### **International Corporate Governance and Transnational Corporations (core course)**

Prof Kern Alexander, Dr Francesco De Pascalis, Dr Dionysia Katelouzou

The course will address the law of transnational corporations and the principles of corporate governance that influence the management of multi-national enterprises and corporate groups. Key areas include: theoretical underpinnings of corporate law and governance, separate legal personality and limited liability within the corporate group, as well as the public international law governing shareholder rights and investment, European company law and related issues of market abuse and insider dealing.

### **International Capital Markets Law and Securities Regulation (core course)**

Dr Pierre Schammo, Prof Nicholas Dorn, Dr Monica Sah and Dr Andromachi Georgosouli

This course has been specially designed for students that have completed their undergraduate degree in law, finance, management or economics. The course does not assume that you have previously studied financial regulation. It is designed to contribute to your enhanced understanding of the ways in which governments and public authorities intervene in the operation of financial (capital) markets.

The course focuses on the principles of regulation of international financial markets and examines the public regulation of securities markets - that is, the relationship between central government, independent government agencies or indeed international organizations on the one hand, and financial markets or market participants on the other.

### **Bank Corporate Governance and Regulation**

Dr Rahul Dhumale, Dr Nikoletta Kleftouri

This course examines the principles and regulation of corporate governance that are applicable to banks and financial institutions. The economic theories of the principal-agent model will be examined and the potential conflict between shareholder wealth maximization objectives and regulatory objectives will be assessed. The legal regulation of corporate governance for banks and financial institutions will be examined from the UK perspective along with the proposed new international framework of Basel II and III. Transaction cost and social cost theories as they relate to financial regulation will be examined. Comparisons will be drawn with US corporate governance and regulatory practice as applied to banking sector.

### **The Law of International Trade and Finance**

Dr Mahmood Bagheri

This course provides an understanding of the general framework of international trade law and regulation, basic legal concepts of international trade law, and practical skills in negotiating and settling international transactions, and an understanding the legal implications of managerial decision making related to international trade strategy and concepts.

The course also provides an understanding of the private law aspects of international finance. It consists of various aspects of the rapidly changing international banking regulation, trade finance, private international law of international finance, including securities transactions, syndicated loans and legal aspects of international payment. The focus will be on choice of law issues in cross-border transactions, the use of model contracts in international commerce, the provision of finance for international transactions (documentary credits), and regulatory challenges and practitioner strategies, international conventions governing private law issues (eg., the Hague Convention on Indirectly-Held Securities).

### **Foreign Investment Law and Policy \***

This course will examine the regulation of foreign direct investment (FDI) in the EU, U.S. and selected foreign countries, including the analysis of relevant treaties, codes, guidelines, and other applicable international instruments - both bilateral and multilateral - pertinent to the various investment regulatory regimes. The course will begin with an introduction to the economics and history of FDI and, more generally, multinational corporations and then focus on three major subject areas: (1) national regulation of FDI; (2) international protection of FDI; (3) current policy debates on the role of FDI in developing countries. Each segment will culminate in a fairly complex simulation of relevant practice situations, which will include the regulatory screening of a foreign investment for national security purposes, an international arbitration of a claim that an investment has been expropriated, and an interagency consideration of a controversial policy issue in FDI.

### **Money Laundering and Financial Regulation**

Dr Giannis Keramidas

The course will provide an understanding of the legal and regulatory principles that apply to companies and businesses in the area of anti-money laundering control and compliance. It addresses issues of civil and criminal liability from a company and business enterprise perspective and the regulatory policy objectives of implementing anti-money laundering rules, as well as many of the conceptual dilemmas in regulatory policy. Special attention will be given to counter-terrorist financial regulation and terrorist financing methods.

### **International Economic Law and Organisations**

Professor Kofi Oteng Kufuor, Dr Andrea Miglionico

This course covers the principles and international legal rules that govern cross-border trade in financial and commercial services, and the operations of international economic and financial organisations. After a review of the applicable concepts, principles, sources of customary international economic law and the historical development of international economic organisations in the twentieth century, the module will focus on the legal and institutional structure of international economic and financial governance with particular attention on the International Monetary Fund/World Bank, the World Trade Organisation and related international standard setting bodies (eg., Basel Committee on Banking Supervision). It will analyse the basic architecture of the international economic and financial system and the different instruments of cross-border cooperation, coordination, and supervision of international finance and the relevance of soft law norms in this field. The focus on the IMF will include questions regarding the implementation of international standards and transparency in monetary and financial policies and the various governance practices of central banks designed to reduce systemic risk.

In addition, the World Trade Organisation and its predecessor body the GATT will be analysed and its important role in governing international trade relations as well as the principles of the international trading system. A brief overview of the objectives of the WTO will be provided and the different agreements, the GATT, the GATS and TRIPS and its important principles. The principal focus will be on the institutional aspects, including the legal structure, decision-making structure, and dispute settlement rules. A few cases illustrative of these principles will be read and discussed in seminar. Moreover, the relevant international agreements covering private law transactions will be examined, including the UN International Convention on the Sale of Goods and related agreements.

### **LLM Research Methods Training\***

Dr Constantin Stefanou, Dr Mahmood Bagheri, Ms Laura Griffiths et al.

This module will be optional for LLM in ICGFREL students who are encouraged to attend this course together with other LLM programmes' students at IALS. It will focus on current issues related to regulation and governance thus providing students with the opportunity to apply the theory examined in the other courses to specific topics of current interest. The aim of the module is to expose students to the most recent theoretical and empirical developments taught by those who took part in the original process, thus offering students an insight into the intricacies of specific areas of legislation. Topics for this module which will be taught in weekly 2-hour lectures and seminars and by use of case studies. The course will be examined by two 3,500 word essays (maximum length) at set dates.

### **International Commercial Arbitration and Alternative Dispute Resolution\***

The course aims at providing students with essential skills and knowledge to manage or avoid disputes and, if necessary, engage in the process of resolution of international commercial and economic disputes through international commercial arbitration or other forms of international dispute resolution. The course will enable students to understand the structure of the international contracts and the role and place of international commercial arbitration in resolution of disputes arising from international economic and commercial relations. It also covers the process of arbitration and the rules governing arbitration procedures, issues of arbitrability of economic law disputes, substantive applicable law and international enforcement of arbitration awards. Through practical exercises, the course focuses on techniques in negotiating and drafting international contracts as well as the methods of resolving possible disputes through mediation and negotiation.

### **Extraterritorial US Financial Regulations\***

United States financial regulation and financial sanctions programmes have become increasingly important in today's global financial markets and often impose onerous regulatory requirements for non-US banks and financial service firms. The module will focus on the extraterritorial legal and regulatory framework of US banking, securities, anti-money laundering and economic sanctions laws and their impact on European and other non-US financial service firms and any businesses which conduct transactions with US persons or in the US, or control or manage US property outside the US.

### **UK Banking and Securities Regulation\***

The course will address the law and regulation governing banks and securities firms in the United Kingdom and related issues of corporate governance of financial institutions and securities firms. Key areas include: the public law aspects of banking and securities regulation as set forth under the Financial Services and Markets Act 2000 and the relevant regulations and rules adopted by the Financial Services Authority. The rules governing disclosure of listed companies as set forth by the UK Listing Authority and ongoing reporting requirements required by the FSA will be examined. Related rules of accounting regulation will be addressed along with the problems of corporate fraud, market abuse and other legal issues affecting investor protection. Recent case law involving FSA enforcement actions will be examined and ongoing compliance practices of UK financial firms and individuals with the UK regulatory rulebook will be analysed.

### **Comparative European Banking and Securities Regulation\***

Main areas: EU Banking Law, EU Monetary Law, European System of Central Banks/European Central Bank/National Central Banks, Institutional Developments of European Financial Regulation, EU Securities Regulation, Financial Services Action Plan & Lamfalussy framework and Market Abuse and Insider Dealing. The course will provide the opportunity to examine the legal, regulatory and institutional aspects of the law governing banking, monetary policy and payment systems, and investments services and securities markets in the European Union. One of the underlying themes will be the continuing interaction between these aspects and the globalisation of financial markets.

### **Foundations of Economic Regulations \***

This module aims at providing students with knowledge of welfare economics, the policy and the law of economic regulations, regulatory theories, law as an instrument for economic policy and the process of formulation of regulatory laws for contestable market and non-contestable markets. The course will enable students to understand the function and structure of the markets, markets failures and the regulations in the modern market economy, the relationship between private law and regulatory laws, international implications and scope of national regulatory regimes. The module focuses on techniques and methods used in the production of regulatory laws and setting the regulatory standards by using economic theories and legal analyses. Students will enhance their skills as advisors to legislators in the process of formulation of regulatory laws such as competition laws, regulation of public utilities, securities regulation, banking regulations and some aspects of social regulations such as an environmental law and other welfare regulations.

**\* *Not offered in the current academic year***

## **GUIDANCE AND REGULATIONS**

*The following pages refer to guidance and regulations as set out in the School of Advanced Study's Quality Assurance Framework for Postgraduate Teaching. The current version is available online here <http://www.sas.ac.uk/about-us/policies>*

### **STUDENT CHARTER**

The Charter below sets out the rights and responsibilities of the School and its students.

#### **THE SCHOOL'S RESPONSIBILITIES**

##### **The School undertakes to**

- Encourage its employees to treat students and colleagues equally and respectfully

##### **and to provide**

- High standards of teaching, support, advice and guidance
- Access to activities that will enhance employability and personal development
- Support for student participation in academic development and programme management, including elections of representatives
- Clearly defined access to library and IT facilities
- Clear deadlines and timeframes – in programme handbooks – for feedback on work submitted by students.
- Programme handbooks for students which detail assessment criteria, contact hours, mode of delivery
- Details on examination arrangements and regulations, academic guidance and support, appeals and complaints procedures
- Clear information on programme costs, payment options and deadlines.

##### **Its teaching staff undertake to**

- Treat students responsibly and with respect
- Familiarise themselves with the Quality Assurance Framework and School supervisory practice
- Keep themselves up to date with best practice in relation to teaching and supervision, including undertaking, where appropriate, training in research student supervision
- Be accessible to students during term time and advise them of any absences likely to exceed two successive weeks during the vacation. Keep each student well informed in advance about any prospective periods of leave and the planned supervisory arrangements during the leave
- Advise students on progress in a timely fashion and warn where work is not of the appropriate standard or is being produced too slowly, and of steps which might be taken to remedy the situation

- Provide constructive timely written feedback on all written work submitted by the student and keep copies on file
- Ensure that students understand the requirements of the degree, provide guidance on the examination process, and help students to prepare
- Provide students with guidance as to essential reading, including information as to where this may be found, before the start of the academic year if possible, or at induction
- Avoid cancelling classes or meetings unless for a completely unavoidable reason, and always advise in good time; rearrange any cancelled classes/meetings
- Treat student data with integrity and be aware of responsibilities in relation to the Data Protection, Freedom of Information and Equality Acts.

## **STUDENTS' RESPONSIBILITIES**

### **Students undertake to:**

- Observe the Statutes, Ordinances and Regulations of the University of London
- Treat staff and their fellow students equally and respectfully
- Attend induction, read documentation provided, including regulations for their degree and Student Handbooks
- Participate in timetabled classes, attend meetings with tutors
- Obtain agreement from their tutors, in advance, for any essential absences
- Take responsibility for managing their own learning: actively engaging in their programme; ensuring they spend sufficient regular time in private study; participating fully in group learning activities; maintaining a record, in consultation with their supervisors, of supervisory meetings; inform supervisors promptly if circumstances arise which are likely to affect their work; discuss any teaching / supervisor problems with their supervisor(s) or with Institute Director (or other senior staff member)
- Submit assessed work by stated deadlines, actively participate in feedback
- Familiarise themselves with guidelines on ethical research, data protection matters, and be aware of health and safety and intellectual property implications
- Make prompt payment of charges made by the institution
- Support programme representatives and participate in systems which will lead to improvements in the quality of learning and teaching
- Respect the physical environment of the University of London.

## **PLAGIARISM**

The School is committed to ensuring the quality and status of the degrees it awards through the University of London. Plagiarism is a threat to that quality and is a serious academic offence and cases are dealt with under the University of London's Regulations for Proceedings in Respect of Examination Offences (Paragraph 12, Ordinance 15). See [www.lon.ac.uk](http://www.lon.ac.uk)\975

You will have access to **TurnItIn** plagiarism detection software. Prior to formal submission, you will need to run your work through TurnItIn and submit it with a copy of the 'Originality Report' generated by the system. You will receive detailed procedures on use of the software once they have formally registered.

### **What constitutes plagiarism?**

Plagiarism is the taking of another person's thoughts, ideas, words, results, judgements, results, images etc and presenting them as your own. If you submit any piece of work for assessment that contains work that is not your own, without indicating this to the marker (acknowledging your sources) you are committing 'plagiarism'.

The following are examples of plagiarism. These are not exhaustive:

- Direct quotations from the published or unpublished work of others without being identified as such
- Copying the work of another student with or without their permission
- Using text downloaded from the internet
- Borrowing statistics or assembled facts from another person or source
- Buying/borrowing an essay/report and presenting it as your own
- Copying graphs, images, charts etc without proper citation
- Paraphrasing - putting another person's ideas and judgements into your own words without acknowledgement of the origin
- Submitting the same piece of your own assessed work (or a significant part thereof) more than once (credit can only be given once)

Students should feel free to discuss these issues with their personal tutors or other members of staff at any time, but they should also recognise that they must take personal responsibility for the integrity of their academic writing, which includes learning what is expected of them by those responsible for marking their work.

### **What could happen if you do plagiarise**

If it is found that you have plagiarised, this may result in:

- Expulsion from the School
- A mark of zero
- A record on your student file
- Becoming the subject of an investigation
- Cancellation of your examinations
- Not being allowed to re-enter an examination
- Loss of reputation

### **Why you should not do it**

Assignments provide a vehicle for assessing your performance during the programme and contribute to your overall result. However, they also assist you in understanding your subject and aid your learning on the programme. When you attempt to use the ideas and material independently, you learn more thoroughly and develop your own writing style. You are also likely to perform better in examinations.

There are good reasons why you should cite your source:

- Good scholarly practice
- Gives proper credit to other people's work and ideas
- Shows that you have researched widely
- Strengthens your work by lending weight to your ideas
- Enables others to check the evidence and accuracy of your information

When plagiarised material is included in your assignments tutors are likely to notice the shift in style and are more than likely to recognise the source.

### **Referencing**

There are a number of different referencing style guides. You should check with your Institute if it requires a specific style to be adopted. These will be explained in your Student Handbook and at induction.

### **Paraphrasing**

That is, where you restate information/ideas in your own words. However, just changing a few words here and there does not make them your own and you must still cite your source. Always check your paraphrasing against the original text to ensure that you haven't copied the same phrases.

### **Web sources**

Treat information found on the web in exactly the same as printed material but also make sure that you write the complete URL address and date accessed.

### **Agencies**

Students should note that the use of, *or contribution to*, online essay banks, ghost-writing agencies, or agencies who offer to edit essays in order to improve grades is strictly forbidden.

### **What to do if you are suspected of plagiarism**

- Cooperate fully with the investigation. It is your interests to be open and honest.
- Get some help. Registry staff can direct those accused of plagiarism to sources of advice.

### **Web advice**

How not to plagiarise: <http://www.utoronto.ca/writing/plagsep.html>

### **School responsibilities**

The School recognises its responsibility for managing plagiarism and will undertake to:

- Ensure that all its students are provided with information about plagiarism in the Handbooks and at induction. This will include what constitutes plagiarism, how to avoid it, and an idea of the penalties associated with it.
- Ensure that students are instructed on the use and purpose of the Statement of Authorship form which should be submitted with each piece of work, see attached at Appendix 2.
- Ensure that students are given information on the correct referencing methods for the discipline.

## Responsibilities of the Student

- Act responsibly – don't plagiarise
- If you need help, ask for it!
- Plan your work properly. If you need more time to submit, please see your tutor.
- Always submit your work with the relevant statement of authorship form - your Institute will provide you with one.

## MODE OF ASSESSMENT

Assessment of students for courses is by coursework, namely by written essays of 3,500 words minimum to 5,000 words maximum including footnotes, but excluding bibliography and annexes).

### Dissertations

The deadline for submitting the dissertation will be **5pm on 1 September 2017**.

The draft title of the dissertation accompanied by a full proposal must be submitted to the LLM Director no later than **13 March 2017**. The draft title of the dissertation must be approved by the LLM Director and an external examiner.

Dissertations are of a maximum length of 15,000 words including footnotes, but excluding bibliography and annexes. Supervision is provided in the summer term. Dissertations may take the form of a theoretical analysis of a topic approved jointly by the LLM Director and the supervisor.

Dissertations that exceed the set word limit are not accepted and students will be asked to reduce the word count to the 15,000 word limit, or will be awarded a lower mark.

## PASS MARKS AND GRADE DESCRIPTION

(Note: 50% is the pass mark for each module or other unit of assessment, as well as for the programme overall.)

Minimum mark %	Maximum mark %	Grade	Mark	Description
85	100	Distinction	A+	Outstanding performance above a distinction level. Work is of exceptional quality. The highest level of knowledge and understanding is demonstrated by independence and originality in conception, the highest level of critical skill, synthesis and analysis. The work contains analysis of sufficient originality and importance to change the conventional way of approaching the subject, and its presentation is of the highest standard. The work will be well-argued, well-organised and impeccably documented, and be of publishable or near-publishable quality.

75	84	Distinction	A to A+	Excellent work, demonstrating a consistently very high level of knowledge and understanding. It shows clear evidence of originality and/or independent critical evaluation , high levels of skill in synthesis and analysis. Propositions are analysed with sufficient originality to challenge received ideas, and in a clear, sustained, relevant and focussed manner. Presentation standards will be excellent.
70	74	Distinction	A- to A	Very good to excellent work. demonstrating a very good level of knowledge and understanding. Work shows strong evidence of originality and/or independent critical evaluation, high levels of skill in synthesis and analysis. Arguments are well-organised and lucid. Presentation standards are very good, with very good documentation.
65	69	Merit	B+	Good to very good work, showing a good level of knowledge and understanding, demonstrated by evidence of originality of in conception, a good level of critical skill, synthesis and analysis. It demonstrates use of a wide range of relevant material. Work will be well-organised, clearly argued, coherent, and well- and appropriately referenced. Presentation will be of a high standard.
60	64	<b>Pass; in IALS programmes, Merit</b>	B	The work is of satisfactory to good standard, showing clear knowledge and understanding of relevant material, and signs of independence and originality of conception. The work exhibits sound synthesis and analysis skills, is well-structured and coherent. Presentation will be to a good standard and well-referenced.
50	59	Pass	C	The work is of an acceptable standard, demonstrating an adequate level of knowledge and understanding, some evidence of competence in synthesis and analysis, and adequate levels of presentation.
47	49	Fail	D+	Unsatisfactory work, showing a basic but incomplete level of knowledge and understanding. Important elements may be lacking, and the argument may be persistently obscure, and lacking in coherence and focus.
35	46	Fail	D	Poor or very poor work, below or well below the standard required at the current stage. Work that is very or seriously flawed, displaying a lack of research and a lack of engagement with the question; incoherence or a grave misunderstanding of the topic; no signs of independence and originality in conception, little or no critical skill or ability to synthesise and analyse; very poor standards of presentation including inadequate or extremely poor referencing; short work.
25	34	Fail	E	Extremely poor work, demonstrating all the flaws outlined above
0	24	Fail	F	Unacceptable or not submitted

## PENALTIES FOR LATE SUBMISSION OF WORK

The dates and times (e.g. 5.00 pm) for submission of coursework and the dissertation should be stated in the relevant programme handbooks.

Submission deadlines are firm deadlines. A student may, however, apply for an extension, for medical or other pressing reasons. Documentary evidence will be required when appropriate. Extension will normally only be granted if applied for in advance; the period of extension shall be determined by the Institute.

A student may apply for deferral of the dissertation, for acceptable reasons. Application for deferral must be made as early as possible, normally at least one month in advance of the submission date; deferral is granted at the discretion of the Institute. The dissertation must normally then be submitted in the following year.

The Examination Board may, at its discretion and subject to the Institute's ability to make appropriate arrangements, permit a student who has not submitted all coursework assignments to defer their submission to the following year. Such permission will only be granted in exceptional circumstances and for reasons deemed acceptable, as above.

Coursework for assessment (that is, all assessed work except the dissertation) handed in after the deadline without reasons deemed acceptable will be subject to a deduction of marks as follows:

- A penalty of 10% of the mark awarded for work up to one week late;
- A penalty of 20% of the mark awarded for work between one and two weeks late;
- When work is more than two weeks late, the penalty to be applied is at the discretion of the Institute. The Institute reserves the right not to accept work submitted more than two weeks late; in such case the mark recorded will be nil.

A dissertation handed in after the deadline without reasons deemed acceptable will be subject to the same penalties as above, except that the Institute reserves the right (provided that students have been appropriately informed of this) not to accept and/or mark a dissertation that is handed in after the deadline without reasons deemed acceptable.

### Condonation

- A student must achieve a pass in the dissertation in order to be awarded the degree;
- A marginal failure in one module may be condoned at the discretion of the Examination Board provided that the overall mark for the programme is at least 50%; where the programme includes half-module units the condonation may, at the discretion of the Examination Board, be applied to two half-units;
- The definition of "marginal failure" is at the discretion of the relevant Examination Board but will not normally extend to a mark below 47%; the Examination Board may condone a mark below this norm when (a) the overall mark for the programme is at least 50%, and (b) the student achieves a mark of 60% or above in at least one significant element of the course;

- The Institute's Higher Degrees Committee may determine that certain elements of a course or of an assessed component are not eligible for condonation; this shall be included in the programme regulations available to students and teachers;
- The original mark shall be recorded, with condonation noted as approved by the Examination Board.

## ETHICS

The School's Statement of Good Practice in Research is viewable from here: <http://www.sas.ac.uk/researchethics.html> from where the School's Ethics Procedures may also be viewed.

The management of the School's ethical issues in the matter of research is the responsibility of the Research Ethics Committee, whose primary role is to protect the dignity, rights and welfare of research participants. Consideration is also given to the consequences of the proposed research (directly, or those who might benefit or suffer from its outcomes). The REC also considers the safety of researchers. The REC determines the ethical propriety of research projects that are submitted to it. Specifically it considers whether:

- the way the project is designed and will be undertaken is in line with the principles outlined in the School's Code of Good Practice in Research;
- the subjects are informed about the aim and intended use of the research; what their participation entails; what risks, if any, are involved;
- if the research subjects request it, the information they supply shall be confidential, and their anonymity respected;
- participants in the study are participating voluntarily ;
- participants in the study will be safe;
- researchers have taken proper advice on their own safety.

It is also the role of the REC to

- provide up-to-date advice on good practice (including practical advice, such as the design of interview consent forms)
- review its own procedures and determine action it considers necessary
- report as appropriate to Research Committee, with a summary of reviewed projects and any other matters
- receive reports on the research training in ethics undertaken by students and staff.

The Institutes of the School of Advanced Study are committed to maintaining high standards of integrity and probity in the conduct of research by both staff and students.

## **ACADEMIC DISCIPLINE, COMPLAINTS, HARASSMENT AND DISCRIMINATION**

Extracts from the School of Advanced Study Quality Assurance Framework, section 6

### **6.1 ACADEMIC DISCIPLINE**

The following regulations form part of the agreement made by the student in accepting the offer of a place to study for a degree or diploma in the School.

### **6.2 Attendance and academic performance**

Students are expected to attend regularly the scheduled classes and seminars on their programmes and to be available for consultation with tutors with reasonable notice. A student who is unable to attend a class or other arranged meeting should inform the tutor or supervisor (via the appropriate administrative officer or directly). Prolonged absence caused by sickness must be reported to the Institute, and medical evidence must be provided.

#### **6.2.2 Attendance Policy for Tier 4 students**

In addition to the above, if a student on a Tier 4 visa does not attend ten expected consecutive contacts, we are required to inform the Home Office and this might lead to withdrawing our sponsorship. Expected contacts include:

- 1) Attending formal academic or pastoral care activities including:
  - a. A lesson, lecture, tutorial or seminar;
  - b. A test, examination or assessment board;
  - c. A meeting with a supervisor or personal tutor;
  - d. A research-method or research-panel meeting, writing up seminars or doctoral workshops;
  - e. An appointment with a welfare advisor or international student advisor;
- 2) Submitting:
  - a. Assessed or unassessed coursework; or
  - b. An interim dissertation, coursework or report; and
- 3) Registration (for enrolment or matriculation)

The module leaders for taught programmes and PhD supervisors will keep records of attendance. A student must contact their Student Officer in Registry or their supervisor by email if they wish to request to miss a contact due, for example, to illness. This request must be authorised and will be kept on file. If they have not sought permission, the following procedures will apply:

- 1) After three missed contacts, the student will be contacted by their Student Officer or Supervisor to ascertain the reason for absence;
- 2) After six missed contacts, the student will be contacted again by the Student Officer or Supervisor to ascertain the reason for absence and to inform them that the Programme Director and Registrar will be notified;
- 3) After eight missed contacts, the student will be invited to a meeting with the Programme Director and/or Registrar to discuss their attendance;
- 4) After nine missed contacts, the student will be written to officially informing them that they must get in touch and that the Home Office will be informed if one further contact is missed.

6.3 The provisions of **Ordinance 15 [Termination of Registration on Academic Grounds (other than failure in a prescribed examination)]** shall apply. A student's registration may be terminated on academic grounds where his or

her academic performance, progress, attendance or attainment falls below the required standard in a way that suggests that the programme of study is unlikely to be completed satisfactorily or successfully. This includes (but is not limited to) absence from classes, seminars or other required activities, failure to submit required work, submission of work significantly below the required standard, and any other factors that impede academic progress, such as lack of cooperation with a tutor or supervisor.

6.4 Lateness in submitting written work in taught Masters degrees and diplomas, without cause acceptable to the Institute, will result in a penalty in the mark awarded, or in the work not being marked. The sanctions, and the conditions for their application, must be included in the relevant guidelines and communicated to students.

6.5 The Board of Examiners will receive a report on mark deductions or other penalties for late submission of work.

## COMPLAINTS

6.29 The School is committed to providing the highest quality service to all students. However, it recognises that students may sometimes be dissatisfied and to improve the student experience, feedback is welcomed.

### What is a complaint?

A complaint is any expression of dissatisfaction with the standard of services provided by the School or its agents, or with the actions or lack of actions by the School, the University, its agents, staff or students. Any complaint against a named individual will be addressed as a complaint against the School.

Grounds for complaint might include:

- Dissatisfaction with the standard of academic or service provision (eg course design, curriculum content and structure, assessment arrangements and information, resources and facilities, Halls of Residence)
- Dissatisfaction with the quality of supervision or tuition
- Issues of inappropriate conduct by students or staff
- Failure, on the part of the School and /or University, to meet stated obligations (e.g those set out in the prospectus).

**Note:** The complaints procedure may **not** be used to challenge academic judgement concerning performance and progress.

### Who can make a complaint?

If you are currently studying at the School and wish to make a complaint through this procedure, you must do so **within ninety calendar days** of the issue or problem arising. If you are a student who has left the University, you may use this complaints procedure for **up to ninety calendar days** following the notification of final assessment results or, where applicable, the confirmation of the date of withdrawal.

In all cases you must submit the complaint yourself – we cannot accept a complaint lodged by a third party on your behalf, unless there are exceptional reasons as to why you are unable to do so. Anonymous complaints will not be investigated under this complaints procedure.

**A group of students** may bring a complaint, but one person should be identified by the group as the spokesperson and correspondent for the formal process, with the written agreement of the other members. Each member of the group must be able to demonstrate that he or she has been personally affected by the matter that is the subject of the complaint.

A complaint by a student on matters (including academic matters) other than those referred to in 6.2–6.6 above will be dealt with using the following procedure. It reflects the model procedure set out in Ordinance 19: Student Complaints. The emphasis is on informality, with the object of solving problems sensitively, quickly, simply and fairly. It is hoped that most issues can be settled amicably at the first stage, and that complaints will only exceptionally reach the third stage. Every effort shall be made to ensure that complaints are dealt with within the maximum time limits set out below.

(i) First Stage (Mention of Grievance). The object of this first stage is to resolve problems quickly and simply, with the minimum of formality. A student should initially raise a grievance with the member of staff concerned. If the student feels unable to approach that person, he or she should raise it with an appropriate senior member of the academic or administrative staff of the Institute. Issues of a non-personal nature (e.g. problems with a particular practice within the relevant Institute) might be raised at the Staff-Student Liaison Committee or equivalent body in the Institute. The first stage should normally be completed within two weeks of the grievance being raised. Students may seek advice regarding making complaints and other sources of help from the Registry.

(ii) Second Stage (Informal Complaint). If the matter cannot be resolved satisfactorily at the first stage, the student may refer it to the Director of the Institute as an informal complaint. The Director has discretion as to how the complaint is investigated and determined. The Director shall keep an informal record of each complaint – the name of the complainant, the nature of the complaint and how it has been resolved. The second stage should normally be completed within two weeks of referral to the Director. If the complaint relates to the Director, the student may refer it to the Registrar.

(iii) Third Stage (Formal Complaint). If the matter cannot be resolved satisfactorily at the second stage, or in the event that the student is not satisfied that the grievance has been dealt with satisfactorily, the Student may make a formal complaint to the Dean. Only in exceptional circumstances will the Dean consider a complaint that has not been through the two previous stages. The Dean will investigate and will resolve the matter if he or she is able to in whatever way he or she deems appropriate. The Dean shall determine the question as soon as practicable, and shall give written notice to the student, the Director of the Institute and the Registrar of the School of his or her decision and any action taken or to be taken. Appropriate records will be kept by the Registrar. The third stage should normally be completed within six weeks of referral of a formal complaint to the Dean.

6.30 A student who has exhausted the above procedure but remains unsatisfied with the handling or the outcome of a complaint, including any grievance concerning the procedures applied by or decision made by the Dean, may write to the Vice Chancellor. This review stage should normally be completed within eight weeks of referral of a formal complaint to the Vice-Chancellor.

6.31 Complaints which are allegations of malpractice or concern some other matter which the student believes he or she is unable to raise in the relevant Institute shall be made in accordance with the University's Public Interest Disclosure Procedure.

6.32 There shall be no disciplinary or other adverse implications for a student who makes a complaint or allegation providing the student acts in good faith, within the law, and not vexatiously, or with malice, and in accordance with established procedures including those above.

6.33 **The Office of the Independent Adjudicator for Higher Education**

The procedure above completes the University's consideration of the matter. Attention is drawn, however, to the Office of the Independent Adjudicator for Higher Education (OIAHE). The OIAHE provides an independent scheme for the review of student complaints about a final decision of a University's disciplinary or appeal body. Full details of the OIAHE and how to make a complaint are available on the website of the OIAHE: [www.oiahe.org.uk](http://www.oiahe.org.uk). Postal address: Office of the Independent Adjudicator for Higher Education, Third Floor, King's Reach, 38 – 50 King's Road, Reading RG1 3AA (tel: 0118 959 9813).

## HARASSMENT AND DISCRIMINATION

- 6.34 The following paragraphs reflect the provisions of **Ordinance 19: Student Complaints (Annex 2: Model Procedure on Harassment, Discrimination and Bullying of Students)**.
- 6.35 The University does not consider it to be acceptable for a student to be subjected to discrimination or harassment in any form by a fellow student, a member of staff, or by any other person on University premises or in connection with their study in the University. If possible, the student should make it clear to the person causing offence that their behaviour is unacceptable. If direct representation is not possible, or is not effective, the student may seek help and advice as follows.
- 6.36 The Student is advised to seek a confidential interview with the person designated in the student's Institute. The 'person designated' shall be the Director of the Institute unless otherwise stipulated. If the Director (or other person designated) is the subject of the complaint, the person designated will be the Dean of the School. The purpose of a confidential interview is to discuss the nature of the problem and arrive at an acceptable solution. Further action will not normally be taken without the express permission of the student. However, it will usually not be possible to deal with the matter adequately if such permission is withheld.
- 6.37 If the problem is serious or has not been resolved as a result of the confidential interview, the student may make a formal complaint (as set out in Annex 1 to **Ordinance 19**) which will lead to the initiation of disciplinary proceedings. It will be useful for the Student to keep a note of the details and dates of relevant incidents.
- 6.38 Institutes will keep lists of organisations and individuals which will provide additional help and advice, especially in more serious cases.
- 6.39 The University's 'Policy in Respect of Offences that are also Criminal Offences' is set out at Annex 1 to **Ordinance 17: Code of Student Discipline**. A Student who is the victim of a racial, sexual or physical assault may seek help from appropriate organisations, and may report the matter to the police.

## EXAMINATIONS REGULATIONS

Extract from the Quality Assurance Framework, section 8

Schemes of examination are prescribed in the programme regulations for each programme. Examination shall involve assessed coursework or unseen written examinations, or both, and a significant piece of individual work in the form of a dissertation or report; the latter may be based on a project or fieldwork. In the following, "examination" refers unless specified otherwise to the total schedule of assessment prescribed in the relevant programme regulations.

- 8.15 The unseen written examination for each module, where applicable, shall take place on one occasion each year, as specified in the programme regulations, except where a special examination is permitted in the case of illness or other acceptable cause (see 8.24 and 8.25).
- 8.16 The schedule for submission of assessed coursework shall be as determined in the particular programme regulations. Candidates must pay attention to word limits. For coursework exceeding the upper word limit by at least 10%, the work will be reduced by five percentage marks, subject to a minimum mark of a minimum pass.
- 8.17 The dissertation or report designated in 8.15 above will be examined on one occasion only in each year and the date

for submission will be specified in the programme regulations.

8.19 To be awarded a degree a candidate must:

- have completed to the satisfaction of the School the programme of study prescribed;
- have been examined in all parts of the examination prescribed for the programme and shown a competent knowledge in the examination as a whole.

8.20 A candidate must satisfy the examiners in the examination prescribed within two years from the completion of the prescribed period of study. This period of two years may be extended at the discretion of AQSC, but in no circumstances be extended beyond three years.

**8.21 Entry to examinations**

Entries to the examination must be received by the University by the date specified by the University.

8.22 Candidates are bound by the regulations in force at the time of their entry to the examination.

8.23 No student will be admitted to an unseen written examination unless the certificate (on the examination entry form) of having attended the appropriate programme of study in accordance with the regulations has been completed by the authorised person (the Director, or an officer designated by the Director) in the Institute to which the student is attached.

**8.24 Special Examination Arrangements**

The University's Regulations (Regulation 1: Section E, para 93) for Special Examination Arrangements apply in the School. Applications are sent to the University's Special Examination Services Officer. The authorised person in the candidate's Institute must normally send an application for special examination arrangements in regard to a named candidate no later than six weeks before the date of the candidate's first examination. Applications after this date will only be considered in the case of sudden illness or injury.

**8.25 Deferral and withdrawal from examination or part of examination**

A student may be permitted to withdraw from an examination for which they are registered, or be granted an extension to an assessment deadline, at the discretion of the Programme Director of Studies, for an extension of assessment deadline, or the Mitigating Circumstances Panel (MCP; see paragraph X for details) for all other cases, provided that they supply evidence of illness or other good cause not less than seven working days before the commencement of the first examination which they are expected to sit or before the date of submission in the case of other assessed work. Evidence should be submitted on the form provided for this purpose.

8.25.1 In exercising their discretion the Programme Director or the MCP must be satisfied that

- (a) the illness or other good cause would render the student unfit to enter the examination or to complete the assessment by the deadline; and
- (b) that the illness or other good cause would either:
  - (i) have a significant and adverse impact on the student's performance in the examination or other assessment; or
  - (ii) would prevent the student from sitting the examination or prevent the student from completing or submitting the assessment within the given time-frame.

8.25.2 Where the Director of Studies/MCP is satisfied that the above conditions have been met, the student will both be withdrawn from the examination and deferred or, for other assessment, a new submission deadline will be set.

8.25.3 Except as provided for in 8.25.9 below, a student who is absent from an examination for which they are registered without having been permitted to withdraw, according to the provisions of Regulation 8.25 above, will be regarded as having attempted the examination, and will be awarded a mark of zero for that examination. Such a student may, at the discretion of the relevant Board of Examiners and on the recommendation of the MCP, be permitted to attempt the examination again if the regulations for the programme permit such reassessment (see Regulation 8.41 below).

8.25.4 Except as provided for in 8.25.9 below, a student who fails to submit material for assessment or submits after the deadline and has not made a request for an extension under 8.25 above, will receive a mark which has been adjusted according to the scale of for that assessment, penalties as agreed by the AQSC:

(i) Coursework for assessment but not including the dissertation, will be subject to the deduction of marks as follows:

- A penalty of 10% of the mark awarded for work up to one week late;
- A penalty of 20% of the mark awarded for work between one and two weeks late;

When work is more than two weeks late, the penalty to be applied is at the discretion of the Institute. The Institute reserves the right not to accept work submitted more than two weeks late; in such cases the mark recorded will be nil.

(i) A dissertation handed in after the deadline will be subject to the same penalties as above, except that the Institute reserves the right not to accept and/or mark a dissertation that is handed in after the deadline.

Such a student may, at the discretion of the relevant Board of Examiners and on the recommendation of the MCP, be permitted to attempt the assessment again if the regulations for the programme permit such reassessment.

8.25.5 A student who is registered for an examination and who is absent from that examination without having been withdrawn, or who fails to submit material for assessment by the deadline without an extension, according to the provisions of Regulation 8.25 above, may be retrospectively withdrawn in that examination, or granted a retrospective extension to the assessment deadline at the discretion of the Board of Examiners on the recommendation of the MCP, provided that they provide evidence of illness or other good cause prior to the meeting of the Board of Examiners at which the results for that examination will be determined and normally within seven working days of the examination having taken place or the assessment deadline. Evidence should be submitted on the form provided for this purpose.

## MITIGATING CIRCUMSTANCES

Extract from Quality Assurance Framework section 8

### 8.26 **Constitution of the Mitigating Circumstances Panel**

The MCP will comprise the Dean or his nominee; the Registrar; an external member of the AQSC and a Director of a non-teaching Institute. The Chair of the Panel will be the Dean. The Panel will sit at least one week before the date of the first Examination Board held by an Institute, or at any other time deemed necessary by the MCP.

### 8.27 **Mitigating circumstances procedures**

In exercising its discretion the Mitigating Circumstances Panel (MCP) must be satisfied that:

- (a) the illness or other good cause rendered the student unfit to enter the examination or to complete and submit the assessed work by the deadline; and
- (b) that the illness or other good cause would either:
  - (i) have had a significant and adverse impact on the student's performance in the examination or assessed work; or
  - (ii) have prevented the student from sitting the examination.

8.27.1 Additionally, the MCP must be satisfied that the student was unable, or for valid reasons unwilling, to request to be withdrawn from the examination or to request an extension to a deadline in accordance with 8.25 above.

8.27.2 Where the MCP is satisfied that the above conditions have been met, it will recommend to the Board of Examiners that the student either be retrospectively withdrawn from the examination or deferred, or, for other assessment, a new submission deadline will be set.

8.27.3 A student who presents him or herself for an examination or submits material for assessment will be deemed to have considered themselves fit to enter that examination or to undertake the assessment within the given time-frame, and any mark achieved in that examination/assessment will stand. Exceptionally, a student who entered an examination and completed that examination, or who was present at the examination but was unable to complete the examination, or who submitted material for assessment may, at the discretion of the MCP, be retrospectively withdrawn in that examination or offered another opportunity to undertake the assessment, provided that they supply evidence of illness or other good cause prior to the meeting of the MCP, prior to the meeting of the Board of Examiners at which the results for that examination will be determined and normally within seven working days of the examination having taken place or the assessment deadline. Evidence should be submitted on the form provided for this purpose (Mitigating Circumstances Form).

8.27.4 In exercising its discretion the MCP must be satisfied that:

- (a) the illness or other good cause rendered the student unfit to enter the examination or to undertake the assessment, or, in the case of a student who failed to complete the examination, prevented the student from completing the examination; and
- (b) the illness or other good cause had a significant and adverse impact on the student's performance in the examination/assessment.

- 8.27.5 Additionally, the MCP must be satisfied that the student was, for good reason, unable at the time of entry or submission to recognise that s/he was unfit to enter the examination or undertake the assessment during the specified time-frame.
- 8.27.6 Where the MCP is satisfied that the above conditions have been met, it will recommend to the Examination Board that the student will either be retrospectively withdrawn from the examination and deferred, or, for other assessment, a replacement opportunity to submit material for assessment with a new submission deadline will be offered.
- 8.27.7 Where a student is deferred in an examination according to the provision of Regulations above, the student will be required to enter a replacement examination, where they will be examined as if for the first time (or second time if the deferred examination was itself a second attempt), normally at the next occasion when the examination is offered and the mark for the original attempt will be annulled. Where a student is deferred in an examination and required to enter a replacement examination, the Board of Examiners on advice of the MCP shall determine whether the student is required to sit the examination with or without further attendance.
- 8.27.8 Under no circumstances may examination marks be raised due to illness or other good cause in relation to a student's performance in an examination. However, where a final-year candidate has submitted evidence of illness or other good cause under Regulations above, and the MCP is satisfied that the conditions for the exercise of its discretion have been met, the Board of Examiners on the advice of the MCP may, instead of retrospectively withdrawing the candidate, give the candidate special consideration under the provisions of paragraph 8.40 X below.
- 8.28 Candidates will be informed of the marks obtained in those elements in which they have been examined.
- 8.29 **Illness**  
Special provision for illness or other good cause is given in the withdrawal regulations (Regulation 8.25 above). Where a candidate believes that their performance has been adversely affected by circumstances beyond their control, a case, with appropriate documentation, should be submitted to the Chair of the MCP prior to the meeting of the relevant Board of Examiners, using the form provided for this purpose (MCF) and normally within seven working days of the examination having taken place or the assessment deadline.

If you have any questions on the above, please contact the Registry or your Programme Director.

# APPENDIX 1

## PERSONAL SAFETY – A QUICK GUIDE

As with all major cities, London has its share of street crime. Students, staff and visitors to the University, particularly those who have not previously experienced city life, are advised to note the following police advice and talk to a member of staff if in doubt.

- In any public place, keep your bag or briefcase closed and in a place where you can see or feel it – on your lap or touching your feet, not hung on the back of a chair.
- Be aware of all personal property when using internet cafes.
- When out socialising, never leave your drink unattended and never accept drinks from strangers.
- Be aware of who is around you when using an iPod or mobile phone. Know your phone's IMEI (serial) number, found by dialling \*#06#.
- If you carry a personal attack alarm, make sure it is available for immediate use, not lost in your bag or pocket.
- Thieves are opportunists. Keep expensive (or expensive looking) watches or jewellery out of sight, e.g. by tucking them under your sleeves.
- If you use an iPod, replace the conspicuous white earphones with plain ones.
- If you carry a laptop, place the padded bag inside a holdall or carrier bag, branded laptop carriers are targets for muggers.
- Keep your wallet in an inside pocket. Take everything out of your jacket before hanging it up somewhere.
- Wear your bag across your body and so that it opens on the side facing you. In winter, wear your coat over your bag to hide it.
- Your safety is more important than any of your belongings. You are less likely to be hurt if you let your bag, phone, wallet, etc., go and don't fight to keep it.
- Keep your keys in a different pocket than anything that has your address on it such as ID cards or letters.
- Do not carry valuables in the outside pocket of a rucksack or backpack.
- Never write down your PIN or give it to anyone. If you have trouble remembering your PIN, write it as part of a phone number, never on its own.
- Plan your journeys so that you can avoid dark alleyways, paths or anywhere that makes you feel unsafe.
- When walking at night, walk to face oncoming traffic, be sure of your route and walk with purpose. Do not use iPods when walking at night.
- If you are confronted, unable to get away and believe things might get violent, try to shout 'Call the Police' or 'Fire' as this may unsettle your potential attacker.
- If you see a leaflet holder or strange object attached to an ATM do not use it and inform the bank at once. It may be hiding a hidden camera or card-reader.
- Put your cash away before leaving the ATM.

**The Home Office:** <http://www.homeoffice.gov.uk/crime>

**The British Council:** <http://www.educationuk.org/UK/Article/Stay-safe-during-your-UK-studies>

**Metropolitan Police:** <http://www.met.police.uk/crimeprevention>

# APPENDIX II

## SUBMISSION OF ESSAYS AND DISSERTATION

**Please read the following section fully and carefully before submitting your work**

During the autumn term, students must make an appointment to discuss their progress with the LLM Director. This meeting is intended to deal with any queries or concerns that students have about the programme or their progression.

Assignment topics must be approved by the Course or Module Director where appropriate. Students must submit a proposed essay title and a skeletal outline by the date set by the Course or Module Director.

Coursework must give clear references to all legal and legislative materials and modern views discussed, so that readers can locate them easily. References are better given in consecutively numbered footnotes which must include the author, the title of the publication, the date and location of publication and the specific page to which the citation refers. Tables, if any, should be relevant to the discussion, not merely decorative. They should be numbered consecutively for clarity of reference in the text, have a brief identifying caption, and should be reproduced clearly. A bibliography of the main modern works consulted or cited must be given at the end of each coursework.

All coursework should be word-processed or typed double-spaced on single-sided A4 paper and passed through the plagiarism detection software firstly – Turnitin ([www.submit.ac.uk](http://www.submit.ac.uk)) before submission. Please collect a copy of the manual on how to use Turnitin from your Student Administrator at the beginning of the academic year.

The system is automatic so it will not allow any late submissions after 5 pm.

**Students are reminded NOT to include their name on the coursework;** only your student number should be displayed. Please make a header on all your coursework stating “Student No.....” Your submitted essays electronic files should be named as follows: “ICM R6800” (name of the module followed by your student number).

Submission deadlines (dates and times) are *firm deadlines*. On the day of the submission the cut off time is 5 pm. Late submission of assignments is only condoned in exceptional circumstances (which do not include computer or printer failure). A student may, however, apply for an extension for medical or other pressing reasons. Documentary evidence will be required. An extension will normally only be granted if applied for in advance. A request for an extension to a deadline should be emailed to the Student Administrator.

Coursework and dissertations handed in after the deadline without acceptable excuse are subject to a penalty deduction of 10% for the assessment for up to one week late (that is, the work should be marked in the normal way and then – assuming it is marked out of 100 – 10 marks are deducted to produce the result mark), and 20% for between one and two weeks late. When work is more than two weeks late, any additional penalty to be applied is at the discretion of the LLM Director. The LLM Director, subject to approval by the Internal Board of Examiners, reserves the right not to accept work submitted more than two weeks late; in such case the mark recorded will be 0.

### **Feedback for Coursework**

In accordance with the Data Protection Act, both examiners' comment sheets will be attached to assessed written work and copies will be sent to students. Comments and marks are not shown on coursework or the dissertation.

### **Dissertations**

Students must write in English, on a subject and title approved by the Course Director. The dissertation counts for 60 credits; a pass in the dissertation is needed to achieve an overall Pass in the degree.

A dissertation, which is not on the approved subject, which is badly presented, or which is submitted after the deadline, is liable to be failed with a mark of zero. A dissertation which exceeds the word limit will be subjected to a 10 point reduction in the mark

### **Subject**

University regulations for dissertations require 'an ordered and critical exposition of existing knowledge in any field or part of a field of study'. Candidates are expected to show broad familiarity with the main previous scholarly work on their subject. The development of original views, though not required, is encouraged. The basic requirement is for an independent response to primary material or an independent assessment of previous scholarship on a particular topic.

Students should choose, in consultation with their supervisor, a coherent subject of study appropriate to the degree which fulfils the three following criteria:

1. The subject provides intellectual challenge in evaluating an unresolved debate, or challenging the existing mainstream view, or developing a new interpretation or interpreting new primary material.
2. The subject is not too large for a reasonably thorough discussion within the limits of 15,000 words, or too narrow to present adequate intellectual challenge.
3. The bulk of the essential secondary literature on the subject is in languages which the student can read.

Points to consider when selecting your topic:

- Identify a general subject area. Key questions to consider are: Have I covered any subject areas in my assignments that I would like to explore further? Does the subject interest me sufficiently to spend 3-4 months researching in depth? How much do I know about the subject already and do I have time to research this subject from scratch? Is the subject worth investigating? Is sufficient resource material available?
- Discuss the general subject area with your supervisor who can advise on the suitability of the proposed topic and on the availability of sources of research material
- Conduct an initial literature review.
- Based on your initial literature review and in discussion with your supervisor, narrow the subject down. It is better to cover a specific topic in depth than a broad topic superficially. Summarise the arguments you might address in your dissertation. If you cannot summarise the arguments in a few short sentences, your topic is probably too broad.
- Write a proposal for your dissertation of approximately 200 words. This should include a brief description of the subject and aspects of the subject you will address in your dissertation, with a brief list of the main research sources you intend to use. Your proposal should be focused and achievable.
- Discuss your proposal with your supervisor and agree to a dissertation title.
- Submit your title to the LLM Director for approval by the LLM Director and the two external examiners.

The decision of what topic to do should be taken carefully and with appropriate consultation with the LLM Director and the proposed Supervisor. Students need to think carefully about the availability of material as well as about methodology. The dissertation should normally make use of both primary and secondary sources. (For further information see under the Guidelines for Dissertations)

### **Supervision**

Supervisors advise students in their choice of topic, provide written comments on written drafts of parts or the whole of the dissertation and discuss any major problems or issues raised, and will check that the candidates understand and follow the Guidelines for presentation and submission.

When students have identified their field of interest and a supervisor has been chosen, the supervisor and students should meet promptly to agree on a particular topic and to arrange a pattern of meetings. Although students will have most time for writing their dissertations in June to September (after completion of their taught courses), it is in their own interest to begin work much earlier in the year. Students should note that supervisors can best help when written work has been submitted for comment, and that it is unwise to expect supervisors to be able to read and comment on substantial drafts submitted for the first time only in August or September, without checking their availability in advance. Students should note that academics are often away at conferences or away researching during the summer vacation. The final meeting with their supervisor to discuss plans for the dissertation takes place in May on the students' own initiative.

### **Content and presentation**

A dissertation should begin with a brief explanation of the topic chosen and the problems which the dissertation addresses. The main body of the dissertation should consist of a structured argument or survey which discusses the relevant primary material and main scholarly views. There should be a conclusion summarising the candidate's own response to the problems raised. To aid clarity, it often helps to divide a dissertation into a number of sections, each clearly marked off with a typographically distinct heading. Sections may also be numbered consecutively.

A full bibliography of modern works cited must be given at the end of the dissertation. The bibliography should list works in alphabetical order of author/editor, and should give the initials and surname of the author/editor, the title of the work, and – for books – its place and year of publication, or – for articles in journals – the title of the journal, its volume number and year, and the precise pages of the whole article.

### **Some General Rules to Help with Writing your Dissertation**

Treat each section separately – do not try to write it as one document. In the introduction to each chapter refer back to the previous one and link them together. You should write the introduction first but review and revise it at the end to take account of any changes in your argument or perspective during writing. Keep to the outline you have agreed with your supervisor.

- Keep your message/argument straightforward without oversimplifying it.
- Be analytical, not descriptive
- Be objective, not subjective
- Use logical arguments
- Opinions and conclusions must be supported by evidence
- Avoid colloquial language and slang
- Do not copy or paraphrase the work of authors without acknowledgement.
- Check everything – spelling, punctuation, page layout, typeface, page numbers, footnote number etc – very carefully so that you do not lose marks on presentation.

- Be careful to keep full and detailed information of all your sources for both your footnotes and for your bibliography.

**Finally:** allow some contingency time in case of last minute problems. Technical difficulties, such as computer or printer problems, will **not** be accepted as an excuse for late submission.

**Dissertation submission**

The final draft should be proof-read carefully for errors of fact, grammar, spelling, punctuation and typing. The final version should be produced on a good quality printer.

A dissertation which is not on the approved subject, which is badly presented and difficult to read or which substantially exceeds the maximum word limit is liable to be very severely penalised by the Examiners. The candidate may instead be given the option of applying to defer submission of the dissertation (in suitably revised form) in the following academic year.

# APPENDIX III

## STATEMENT OF AUTHORSHIP FORM

Name: \_\_\_\_\_

Course title: \_\_\_\_\_

Essay title: \_\_\_\_\_

Name of tutor: \_\_\_\_\_

Due date: \_\_\_\_\_

**I declare that the essay/dissertation is my own work and that all sources quoted, paraphrased or otherwise referred to are acknowledged in the text, as well as in the list of 'Works Cited'.**

**Furthermore that I have checked my work using the Turnitin software prior to submission**

Signature: \_\_\_\_\_

Date submitted: \_\_\_\_\_