

## SAS ANNUAL REPORT 1999-2000

### PREFACE

1999-2000 was a landmark year for the School. In its sixth year of existence, it was the object of a comprehensive review by an expert Panel appointed by the Higher Education Funding Council for England. The Panel's key task was to say whether the School merited the special funding it receives in recognition of its unique role in supporting national and international research in the fields covered by its Institutes and Programmes. The Panel's positive verdict, and its strong endorsement of distinctive features of the School – notably the way it integrates a wide range of research-related functions and resources in specialist Institutes – have been a major encouragement to the School as it begins to plan for developments across the five years before the next review, due to take place in 2004-05.

The verdict was reinforced from other quarters. Surveys done for the Research Support Libraries Programme of the Council identified the libraries of the three largest Institutes as among the most important and highly esteemed providers of research support to academic users from outside their own institution, and the Institutes accordingly received supplementary funding over the next three years to improve access.

Finally, two individual accolades are relevant. The election to the British Academy of the Librarian of the Warburg Institute, Dr. Ryan, and the Curator of the Institute's Photographic Collection, Dr McGrath, vividly exemplifies the School's ability to put the highest levels of academic excellence at the service of the research of others. That excellence has also been recognised by the University through the award, in each case, of the title of Professor.

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Dean

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## CONTENTS AND HIGHLIGHTS

page 3	<a href="#">Introduction</a> : <i>Review by the Higher Education Funding Council for England</i>
page 5	<a href="#">Research, seminar and conference programmes</a> : <i>Institutes interlinked</i>
page 8	<a href="#">Libraries and information services</a> : <i>HEFCE endorses their national and international significance</i>
page 9	<a href="#">Publications</a>
page 10	<a href="#">Teaching and training</a> : <i>Joint research training programmes initiated</i>
page 11	<a href="#">Structure and administration</a>
page 11	<a href="#">Premises</a>
page 11	<a href="#">Finance</a> : <i>Steady growth in a stable financial climate</i>
page 13	<a href="#">Institute of Advanced Legal Studies</a>
page 14	<a href="#">Institute of Classical Studies</a>
page 15	<a href="#">Institute of Commonwealth Studies</a>
page 16	<a href="#">Institute of English Studies</a>
page 17	<a href="#">Institute of Germanic Studies</a>

page 18	<a href="#">Institute of Historical Research</a>
page 20	<a href="#">Institute of Latin American Studies</a>
page 21	<a href="#">Institute of Romance Studies</a>
page 22	<a href="#">Institute of United States Studies</a>
page 24	<a href="#">Warburg Institute</a>
page 25	<a href="#">Philosophy Programme</a>
page 26	<a href="#">Appendices</a>

## INTRODUCTION

In presenting its work and achievements to the review Panel set up by the Higher Education Funding Council for England (HEFCE), the School described its core functions as being to protect, develop, interconnect and extend the work of its member-Institutes and Programmes. The key to that work is the "Institute model":

*an approach to advanced study in which a small team of specialists manages close and continuing interaction between a research library collection, a portfolio of research projects, a broad and freely-associating community of scholars, and a group of Fellows and advanced students; an approach which is*

- *democratic: it is open to all who feel that their research has something to contribute to or to gain from the resources each Institute offers and the*

*intellectual association with their peers that it promotes;*

- *comprehensive: it offers support at all stages, from archival and library research, passing through the critical appraisal of colleagues at seminars and conferences, to processes of publication;*
- *altruistic: its primary purpose is to support the work not of its own staff and students, but of members of other institutions.*

This vision of the School was comprehensively endorsed by the Report made by the Review Panel in July 2000<sup>1</sup>. Adverting to the "national, and often international, significance" of the School's libraries, its "intellectual altruism" often involving work "seen as central to the UK's research strengths in relevant fields, and often as an international resource", and the "democratic and collaborative functions of [its] Institutes", the Panel recommended that the School should continue to benefit from special funding from HEFCE. Indeed the distinctive characteristics of its contribution to higher education should be recognised by incorporating in that funding stream, from 2002-2003, the funds which currently flow to the School as a result of successive Research Assessment Exercises (RAE), and by the introduction, from 2004-2005, of a system of quinquennial peer review for the comprehensive evaluation of the School's activities.

These recommendations, which have been accepted by HEFCE and the University, maintain a stable financial base for the School's work for the next five years, and implicitly recognise the School's provision of the "high performance and added value" which HEFCE now stipulates as a condition for the provision of special funding. In clearly rejecting possible alternative approaches to securing the benefits provided by the School, such as the disaggregation of library and other functions within Institutes, or the transfer of the management of individual Institutes to Colleges of the University, they endorse the basic principles on which the structures of Institutes and the School have been built. At the same time the Report issues a challenge, inviting the School to consider how it may make its over-arching function progressively more intellectual as well as managerial, and develop its capacity for entrepreneurial and innovative action. The Directorate and the Board of the School are carefully considering how such a challenge may be met.

<sup>1</sup> The Report can be viewed at [www.sas.ac.uk](http://www.sas.ac.uk). The composition and terms of reference of the Panel are set out in Appendix 6 below.

[back to contents](#)

## **PART I: THE SCHOOL RESEARCH, SEMINAR AND CONFERENCE PROGRAMMES**

The HEFCE Review Panel's suggestion that RAE funding for the School henceforth be included in a global grant determined on the basis of comprehensive peer review reflected its finding that the RAE process was not an appropriate mode of assessment of research done in the School. While research of the highest quality is done in Institutes, as is attested by the scores attained in the 1996 Assessment Exercise, factors such as the small size of Institute core academic staffs, the interdisciplinary character of most of them, and the degree to which their research is driven by the needs of their national constituencies, create an awkward fit with the structures and assumptions of the RAE. Responding fully to those structures and assumptions would create a serious risk of distortion of Institute purposes and staffing structures. The School therefore welcomed the Panel's finding. HEFCE itself has however taken the view that the amount of research funding to be added from 2002-03 to the School's special funding should be based on the results of the RAE 2001, rather than on current funding: a position which prolongs the difficulties which most Institutes have hitherto faced.

The Panel's invitation to the School to go further with inter-disciplinary work was accompanied by the acknowledgment of the significant volume of work in the School which already crosses Institute boundaries: a better description than "inter-disciplinary", both because of the varied use and abuse of that term, and because the work of the majority of Institutes is in any case multi- or inter-disciplinary in nature. Fostering intellectual linkages between Institutes and their constituencies is indeed a key feature of the School's work, carried through in a variety of ways, and supported by the School's initiatives and lectures funds.

#### *Seminars, conferences and other events*

Three themes of continuing cross-School interest have been highlighted in the last year's events. *Human rights* is an issue addressed from a variety of standpoints within the School, including an interdisciplinary degree programme at the Institute of Commonwealth Studies and teaching of the politics of human rights in Latin America at the Institute of Latin American Studies. Three further Institutes collaborated in July 2000 to mount a major conference entitled "At Century's Dawn: The Future and Past of Human Rights and the Rule of Law", which was organised on behalf of the School by the Institute of United States Studies, with the Institutes of Historical Research and Advanced Legal Studies. Proceedings of the conference, which included contributions from Justice Antonin Scalia of the United States Supreme Court, and from the Lord Chief Justice (and Pro-Chancellor of the University) Lord Woolf, will be published in 2001.

Second, the *humanist tradition*, which underpins the School's work in many ways but is at the same time an object of its study and critique, was addressed at the first collaborative conference of the Getty Research Institute, Los Angeles and the School, which took as its theme 'The Humanist Tradition in the Twentieth Century'. Organised from London jointly by the Director of the School's Reception Project (below), by the Courtauld Institute, and the Warburg Institute, the event enabled the Getty's own research fellows researching this theme to discuss their work with School-based and other UK specialists. Papers from the conference will be published by Cambridge University Press in 2001.

Third, the theme of *the history of ideas* has for several years offered a framework within which Institutes have been invited to offer their distinctive contributions through the organisation of an annual lecture financed with funds from the John Coffin bequest.

Following Professor Parekh's 1999 contribution on the idea of multiculturalism, organised by the Institute of Commonwealth Studies, in 2000 it was the turn of the Institute of Advanced Legal Studies, which invited Judge Carsten Smith, President of the Supreme Court of Norway, to invade what has traditionally been regarded as American territory, by examining the origins of judicial review of legislation – in nineteenth century Norway.

#### *Fellows*

While individual Institutes administer a range of stipendiary and non-stipendiary visiting and other Fellowships, the School has for several years run a Fellowship scheme specifically conceived to encourage working across Institute boundaries. Intending Fellows are invited to submit schemes of work which will draw on the resources of more than one Institute, and are assigned academic contacts in each. The Fellowships are non-stipendiary, but with effect from this year Fellows can draw on a fund to meet research expenses, as well as enjoying the use of office and other facilities in the School. Fellows' themes in 1999-2000 have included healing in Italy between 1550 and 1880; R.G. Collingwood's critical humanism; lying and deception in democratic politics; and Aristotle's *Metaphysics*, and have involved work with staff in Classical Studies, Germanic Studies, Historical Research, Romance Studies, United States Studies, the Warburg Institute, and the Philosophy Programme.

### *Research projects*

The School can also foster and support longer-term funded research which crosses Institute boundaries. While most funded projects are housed in and administered by Institutes, the project on the Reception of British Authors in Europe, by reason of its links to several of the School's fields of study, is based centrally. The project, initiated in the British Academy in 1996, is directed by Dr Elinor Shaffer, FBA, Senior Research Fellow at the Institute of Germanic Studies, with the support of a Research Fellow and an Assistant. The Project is supported by funding from the Leverhulme Trust, the British Academy, an Arts and Humanities Research Board large grant, and from 1999 by an ESF (European Science Foundation) grant. Its purpose is to produce a multi-volume series based on British authors, published by Athlone Press, London; seventeen volumes are now at various stages of preparation, with the first volumes to appear in 2001. A custom-designed database serves as a repository for new research material provided by the contributors to the Project's book publication series and subsequent electronic publication, and as a research tool for other scholars.

During the year the Director and colleagues held special sessions on Reception Studies at the meeting of COTEPPRA (Comparative Theory and Practice, an EU-funded project of which SAS subsequently became a partner) at the University of Bologna in November 1999; at the International James Joyce Symposium held at London in June 2000; and at the Walter Pater

Conference held at Christ Church, Oxford, in July 2000. In January 2000 a Conference organized by the Director at the British Academy on 'The Anglo-French *fin de siècle*' opened a series on *fin-de-siècle* authors. A Conference was planned for October 2000 in conjunction with the Institute of English Studies on 'The Irish and British *fin de siècle* in Europe: Wilde and Yeats'. Advisory Board Meetings of the Project took place at the January and October occasions. The Reception Studies Seminar, begun under the aegis of the Institute of Romance Studies in 1998, continued to bring together faculty members, research students, and visiting speakers for presentation and discussion of work in progress.

### *Programmes*

Finally, inter-Institute collaboration may be placed on an institutionalised basis by the establishment of a new Programme within the School. The Programme format, which assures financial support for an activity from the School for a period of several years, with a formal link to the Directorate assured through an Advisory Committee, was first used for the Centre for English Studies, now established as an Institute, and currently supports Philosophy within the School (below, pp. ).

Palaeography has for some time appeared a promising field for this kind of development. Teachers of the subject are scattered across the University in a variety of different kinds of post; the University's Chair in the subject is held at King's College; the University Library has superb resources for the study in its Palaeography Room. The School's natural vocation for promoting University-wide collaboration in such circumstances is reinforced by its own commitment to teaching and research in the field, spread across four Institutes: English Studies; Historical Research; the Warburg Institute; and Classical Studies. Teachers of Palaeography and Diplomatic in the University began to meet regularly a few years ago under the Chairmanship of Professor Diana Greenway of the Institute of Historical Research, co-ordinating University teaching, working with the University Library to enhance its Palaeography provision, and offering a successful summer school. This year, under the Chairmanship of Professor David Ganz, the Professor of Palaeography at King's College, they have developed this collaboration with a view to recognition as a Programme of the School with effect from autumn 2000.

In the year under review, the group hosted palaeography lectures given by Professor Alison Stones (Pittsburgh) Professor A.G. Edwards (University of British Columbia) Professor

George Kaiser ( Kansas) and Professor Marc Smith (Paris, Ecole des Chartes); ran a monthly workshop on book scripts and cursive scripts from 1100-1300, held at the Wellcome Institute for the History of Medicine, which examined mortuary rolls in the British Library and St.John's College Cambridge; co-ordinated some fourteen palaeography courses taught in the University of London and in the School of Advanced Study; and ran a two-week long summer school in June which attracted 59 students (some of whom attended more than one level) from Britain and overseas, and provided useful additional income for the programme.

[back to contents](#)

## **LIBRARIES AND INFORMATION SERVICES**

### *Libraries*

Following the success of School libraries in the bidding rounds for project funding from the Research Support Libraries Programme (RSLP), the School was also very successful in obtaining funding through the RSLP's "access" stream. The scheme has been designed to provide additional support for research libraries which enjoy high esteem and attract substantial numbers of academic users from outside their own institution. Three libraries in the School, at the Institutes of Advanced Legal Studies, of Historical Research, and the Warburg Institute, were awarded a total of £150,577 for the session 1999-2000 and for each of the two subsequent sessions. The total grant to School libraries was the eleventh highest made. Since most of this funding was awarded to large libraries serving multi-faculty universities, the award to the School's specialist libraries is an impressive testimony to their national importance.

Although most of the funds awarded will be used to enhance access to the collections of the libraries concerned, it has also been possible to make significant improvements to SASCAT (the School's automated catalogue), which will render it more accessible to remote users. Extra capacity has been purchased to meet the increased demand for access to the catalogue, which had begun to make it difficult to consult remotely at busy times of the day. SASCAT has also been improved by the addition of facilities to permit inter-connection between electronic catalogues (Z39.50 functionality), so that the School can participate in the M25 Group's project to enable searching across catalogues throughout the area, and in any similar or more extensive projects.

The accessibility of the catalogue has also been greatly enhanced by the loading of 350,000 bibliographic records for monographs into the database of CURL (the Consortium of

University Research Libraries). These records are now nationally accessible through COPAC (CURL's online catalogue). Meanwhile, SASCAT's own database is about to grow dramatically, as the University has provided funding which will enable those records which could not be included in the original retroconversion of School library catalogues to be added to SASCAT. In due course this work will make records for the entirety of the School's printed resources accessible to the national research community.

School librarians have also reviewed automated entry systems, in the light of the interest in installing systems in libraries which lack them. Particular attention has been paid to ensuring that new installations do not preclude on technical grounds any future plans which the School might develop to increase common access to its libraries.

As always, the School has continued to be represented at the regular meetings of the regional and national library groups in which it participates. School librarians have also sought to pool reports from conferences and meetings attended by individual members of staff, thus offsetting the difficulty which staff from smaller libraries find in attending such events. In addition, the School's own Libraries Committee continues to provide a forum for discussion and exchange of information for research libraries across the centre of the University and indeed beyond: the University Library and the Courtauld Institute are represented, as are the School of Slavonic and East European Studies, now part of University College London, and the School's Associate, the Wellcome Library.

[back to contents](#)

## **PUBLICATIONS**

The School continues to serve its academic constituencies by functioning as an academic publisher across a broad field, both through its own imprints and through joint ventures with commercial publishers. Publication output is evenly spread among monographs, edited symposia and other collective volumes, primary materials, research aids and journals, and normally presents research undertaken in or with the support of Institutes. A list of the year's publications, classified by subject, will be found at Appendix 5.

[back to contents](#)

## **TEACHING AND TRAINING**

The Academic Policy and Standards Committee continued to monitor and provide quality

assurance for teaching programmes in the School. The Committee reviewed practice in a number of areas of quality assurance, and provided advice on best practice. The School's Quality Assurance Framework, which had been in preparation for some time, was finally approved by the University's Academic Committee and was published in November 1999. The Academic Policy and Standards Committee prepared a Learning and Teaching Strategy. This was a requirement so that the School could benefit from funds allocated under the Funding Council's three-year Teaching Quality Enhancement Fund. The sum of money available is not large, but it will enable a number of activities such as training workshops to be held. The first of these, a workshop on PhD supervision, took place in July 2000.

The School produced a Handbook of Research Training and Facilities for Postgraduate Students for a second year, giving information on programmes in the different Institutes as well as on libraries and other facilities. An Open Evening was held to bring together research students in the different Institutes, and two School-wide workshops were organised, on the Uses of History and on Fieldwork. These events were based on a high degree of inter-Institute collaboration and their success encouraged the School to plan future activities on the same lines.

The School's Student Admissions Committee, in its second year in 1999-2000, advised the Directorate on the allocation of funded-place quotas for MA courses within the School, in response to the rules introduced by HEFCE for funding of degree teaching, and monitored the implementation of the quotas. The Committee was directly responsible for approval of admission of new MPhil/PhD students to funded places (Home and EU students), and monitored the admission of non-funded overseas and independent research students through reports from Institutes.

In the event, MA recruitment in one or two Institutes fell short of expected numbers, and it was not necessary to apply the quota provisions. The numbers for the year under review are shown in the following tables.

**SAS Student Numbers, 1999-2000**

Taught Master's		
Institute	Head Count	fte

Institute	Head Count				fte	
	Home/EC		Overseas		Total	
	Full-time	Part-time	Full-time	Part-time	99-00	98-99
Commonwealth Studies	18	8	9	0	31	20
English Studies	6	10	0	0	11	8
Latin American Studies	21	6	4	0	28	36.5
Romance Studies	3	1	0	0	3.5	n/a
United States Studies	3	16	2	0	13	20
Warburg	2	0	3	0	5	5
<i>Totals</i>						<b>89.5</b>

MPhil/PhD						
Institute	Head Count				fte	
	Home/EC		Overseas		Total	
	Full-time	Part-time	Full-time	Part-time	99-00	98-99
Advanced Legal Studies	5	10	8	10	23	28.5
Commonwealth Studies	0	3	3	3	6	7.5

English Studies	0	1	0	0	0.5	0
Germanic Studies	1	1	0	0	1.5	0
Historical Research	2	0	0	0	2	2
Latin American Studies	2	2	5	2	9	14
Romance Studies	0	1	0	0	0.5	0
United States Studies	1	3	0	0	2.5	0.5
Warburg	11	6	3	2	18	18
<i>Totals</i>	<b>22</b>	<b>27</b>	<b>19</b>	<b>17</b>	<b>63</b>	<b>70.5</b>

Master's results for the year, with comparison with 1998-99, are set out in the table below. A list of theses successfully defended in 1999-2000 appears in Appendix 7.

<b>Taught Master's results</b>						
	Distinction		Pass		Fail	
<b>Institute</b>	<b>99-00</b>	<b>98-99</b>	<b>99-00</b>	<b>98-99</b>	<b>99-00</b>	<b>98-99</b>
Commonwealth Studies	3	2	30	22*	3	-
English Studies	3	3	5	8	-	-
Latin American Studies	3	6	29	42	1	-
Romance Studies	-	n/a	3	n/a	-	n/a
United States Studies	-	3	18	21	1	-
Warburg	1	2	4	5	-	-

<i>Totals</i>	10	16		98	5	0
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\*Includes one student on MA in Australian Studies

[back to contents](#)

## STRUCTURE AND ADMINISTRATION

The minor changes in the School's methods of governance made last year (1998-99 report, p. 10) have been smoothly integrated into existing structures and procedures. In the field of academic promotions, the University's new Academic Promotions and Titles Committee began its work, building on the procedures established a couple of years ago in the School. Administrative contacts in a variety of areas – personnel, finance and accounting, estates, registry - have been facilitated by the institution of a regular meeting between representatives of the School – and other Institutes – and officers in charge of central University functions and services.

[back to contents](#)

## PREMISES

Works of refurbishment and reorganisation at the Institute of Historical Research were completed early in the year, allowing the Institute a more rational and efficient use of space. For larger and smaller Institutes alike, however, space remains a problem as academic activities develop vigorously. The School maintains close contacts with the University's Estates Division, and is contributing to the process of developing a strategic plan for the University's estate. Premises maintenance is now organised and financed on a precinct-wide basis by the Estates Division, and Institutes outside Senate House are continuing to work with the Division on annual maintenance plans.

[back to contents](#)

## FINANCE

The School continued to enjoy a stable financial climate in 1999-2000. Its grant from the University amounted to £5.52m. (1998-99: £5.39m.) and was derived from funding provided by the Higher Education Funding Council for England. The core grant represented 49.9 per cent of total income of

£11.06m. (1998-99: 56.2 per cent). The reduction reflects a substantial increase in the income earned by the School from sources such as donations, endowments, conference sponsorship and fees, and RSLP funding. Combined income from these sources rose from 19.2 per cent in 1998-99 to 28.1 per cent in 1999-2000, while other sources of non-grant income (research grants and contracts, tuition fees, and interest on reserves) remained stable overall.

Most of the grant (£4.16m.) comes to the School as special funding (1998-99: £4.46m.) rather than through the formulae for calculating teaching and research funding on which most higher education institutions rely. As explained in our 1995-96 report (p. 15), this reflects the unusual staffing structure implied by the "Institute model", in which a small core of academic staff stimulates and co-ordinates research activities by staff in other institutions. It is those other institutions whose formula funding for research will be increased by reference to the resulting publications. Excellent 1996 Research Assessment Exercise results by the School's own staff increased its formula research funding in 1997-98 by 50 per cent over earlier years, but it still represents only a small fraction of the School's total income (1997-98: 6.6 per cent; 1998-99: 7.6 per cent; 1999-2000: 6.0 per cent). As noted above (p. ??), the anomalies of the School's position in relation to formula research funding were noted by the HEFCE Review Panel, and after the 2001 Research Assessment Exercise, the related funding will be rolled up into the School's special funding, and the overall level of that funding determined by quinquennial peer review.

A detailed breakdown of the allocation of 1999-2000 School grant funding between the different Institutes and Programmes, and the central functions of the School, appears at Appendix 3, along with comparative figures for preceding years.

[back to contents](#)

## **PART II: INSTITUTES AND PROGRAMMES**

### **Institute of Advanced Legal Studies**

During the year under review the Institute has further developed and consolidated various initiatives that were started in previous years, and initiated a number of new programmes in line with the recommendations of the Review Committee under the Chairmanship of Sir Roy

Goode QC. These include the strengthening of its three existing research centres (Corporate Law and Practice; Legal Education; and Legislative Studies) and the establishment, in conjunction with Columbia Law School, of a new joint research centre in comparative law. The Institute has also continued to develop work in new areas of law with the support of legal publishers, such as Butterworths, which has generously funded the establishment, from October 2000, of a new Senior Research Fellowship in international financial transactions. In addition it has established new research programmes in the areas of jurisprudence; legal history; and public law, through its new Senior Visiting Fellowships. Record levels of usage and admission were again recorded in the library, which was awarded funding under the Research Support Libraries Programme (RSLP) access initiative in recognition of its services to academic researchers throughout the United Kingdom, receiving the largest sum awarded to a single discipline library. Work commenced on two national collaborative projects; one to create a web guide to foreign legal materials held in UK libraries (funded by the RSLP); and the second to create a gateway to quality legal materials on the Internet. A range of developments in library services also took place, including the conversion of the card catalogue to electronic format; the installation of public mobile access shelving in the upper basement reading room; and the commissioning and receipt of a report on the physical state of the library collections.

In September 1999 the Institute, in consultation with its Development and Advisory Committee, appointed a consortium of architects and engineers to conduct a feasibility and design study for a new building on the vacant site adjacent to Charles Clore House. The consortium presented its preliminary report in April 2000, recommending the construction of a new building on this site interfacing with the Institute's existing premises at every level. This would not only increase and improve the library's accommodation, but also provide the Institute with an impressive auditorium and additional research facilities, and much needed refurbishment of its existing accommodation. The financial and planning implications of this recommendation have yet to be explored but the management of the Institute remains committed to securing additional accommodation, which is vital if its existing services are to be maintained, let alone developed to meet the needs of its users. Raising funds to meet the costs of the project is a difficult challenge, but one that the Institute remains confident of meeting, albeit within a slightly longer time scale than was originally contemplated.

## **Institute of Classical Studies**

A full programme of lectures, seminars and conferences in the Institute's academic programme included numerous highlights. In November a two-day conference on The Unknown Galen, jointly organised with the Wellcome Institute, was a conspicuous success, and its papers will be published as a *B/CS* Supplement. Also in November the Sheila Kassman Memorial Address was given by Professor Heinrich von Staden (Princeton) on "Reading and Therapy: Literacy and Medicine in the Early Roman Empire". Another welcome overseas scholar was Professor Richard Goldthwaite (The Johns Hopkins University) who visited London in December and gave a public lecture on "Economics and art in Renaissance Florence". This event was jointly sponsored by the Society for Renaissance Studies and the Accordia Research Centre. Further stimulating lectures were given in the Ancient History series by Professor Glenn Most (Heidelberg), on "A short history of literary history. Or What was literary history?", and in the Classical Archaeology series by Professor Olga Palagia (Athens), entitled "Not the Parthenon frieze. Twentieth Century views of alternative Attic friezes."

On March 15, to celebrate the centenary of the commencement of excavations by Sir Arthur Evans at Knossos, the Knossos Centenary Day was attended by more than 100 international scholars and visitors. This event was co-sponsored by the Institute, the British School at Athens, the British Museum and the Society for the Promotion of Hellenic Studies. The programme included lectures on varied aspects of Knossian archaeology and Evans' contribution by Dr T. Whitelaw (London), Dr C. Palyvou (Athens), Professor P. Warren (Bristol) and Dr A. Karetsou (Ephor of Herakleion, Crete), and ended with a reception in the Fleischman gallery at the British Museum.

In May Professor T. G. Palaima (Texas) delivered the Michael Ventris Memorial Lecture on "Michael Ventris and the development of his research methods".

Two Fellowships were inaugurated during the session. Professor E. J. Jory (Western Australia) was appointed as the first T. B. L. Webster Fellow. He spent part of the spring term in London and delivered the first Webster Lecture on 9 February on "Some cases of mistaken identity? Pantomime masks and their context". Professor J. R. Green (Sydney) was appointed as the first A. D. Trendall Fellow; and will take up his Fellowship during the autumn term 2000.

There were six Visiting Fellows: Dr Dirk Baltzly (Monash), Dr Antonio Corso (Padua), Dr John Davidson (Wellington), Dr Janet Huskinson (Open University), Dr Peter Lautner (Budapest), and Professor Alanna Nobbs (Macquarie). Professor Charlotte Witt (New Hampshire) held a School of Advanced Study Fellowship at the Institute.

A well-attended and enjoyable course was held in March by Professor E. Handley (Cambridge) on Greek Literary Papyri, and the Summer School in July, organised by Professor M. H. Crawford and Dr D. W. Rathbone (London), took as its theme Quantitative Methods for Ancient Historians.

[back to contents](#)

## **Institute of Commonwealth Studies**

The autumn term saw the last two events of the Institute's 50<sup>th</sup> anniversary celebrations. Professors Rob Holland and Carl Bridge co-organised a conference at the National Maritime Museum in Greenwich on *Exhibiting Empire: Visual and material representations of British imperialism from 1851*. The Institute, with the Royal Commonwealth Society, also provided the platform for a major policy speech by the Foreign Secretary, Robin Cook, on 'Why the Commonwealth Matters', delivered in advance of the Commonwealth Heads of Government Meeting .

An important milestone in research was the award of a substantial grant by the Arts and Humanities Research Board to fund the third and final phase of the British Documents on the End of Empire project (BDEEP) (general editor: Stephen Ashton), extending coverage to the 1970s and to regions such as the Pacific and the Mediterranean. The exemplary quality of the project was again remarked on by the evaluators. In addition, Professor Robert Holland received a grant from the Leventis Foundation for his project on 'Hellenism and the British Empire', and Dr. Diana Markides joined the Institute as Research Fellow on the project.

The Library continued to enlarge its range of development projects, adding to the CASBAH project (1998-99 Report, p. 15) work on its collection of Ruth First papers and its general archive collections, for which University funding has been granted, and the revival of its register of research for Commonwealth Studies, funded by the School Initiatives Fund. Revised library procedures have been designed to produce a smoother flow of ordering and

cataloguing through the year. Reader access has been significantly enhanced through putting 26 of the library's printed journals on-line.

Canadian Studies was revived at the Institute under the leadership of Professor Phil Buckner, Senior Research Fellow, who has set up a seminar series, and, with Professor Holland, organised the first of a planned series of annual conferences.

Caribbean Studies featured strongly in the 1999-2000 programme. Dr. Amanda Sives was appointed for a year as Postdoctoral Fellow with School of Advanced Study Initiatives Funding to work in this field. She organised or co-organised a number of successful conferences and workshops, including a lively conference/performance session on Caribbean music; ran a seminar series which attracted large audiences; and established a database of people working in the field. BDEEP had a very successful book launch for its latest volume on the West Indies in the presence of a number of Caribbean High Commissioners.

The Institute appointed a number of new Visiting Fellows and Senior Research Fellows. Among other distinguished academic visitors were the holders of two British Academy Professorships awarded to the Institute, Professor Sylvia Vatuk, from the University of Illinois, Chicago, and Dr. Kalpagam Umameshwaran, from the Pant Research Institute in Allahabad, India.

The Institute had its largest intake for the MA in Understanding and Securing Human Rights, with 36 students registering, including nine from overseas. Deirdre Fottrell, Convenor of the degree programme, left at the end of the year to take up a post at Essex, and has been replaced by Dr Nazila Ghanea-Hercock.

[back to contents](#)

### **Institute of English Studies**

1999-2000 was the first full year of operations for the Institute, with its new Advisory Council constituted under the chairmanship of Professor Ian Donaldson FBA (Cambridge) .

University events included the John Coffin Memorial Literary Readings (Professor Michael Slater of Birkbeck College on 'Dickens's Londoners', the John Coffin Memorial Lecture (Professor Denis Donoghue of NYU on 'Milton, Stevens, and the Death of Satan'), and the Hilda Hulme Memorial Lecture (Professor Marjorie Garber of Harvard University . on 'Historical Correctness'). The Institute was inaugurated on 17 November with a lecture from the Director entitled 'From Axël's Castle to Senate House: Instituting English Studies'.

New seminar groups were started in the following areas: Enlightenment and Romanticism; 'Irish Studies' (in collaboration with the Centre for Irish Studies, Goldsmiths); 'The History of the Book in Medicine' (in collaboration with the Wellcome Trust Academic Unit); 'Corpora and Conversation: Aspects of Pragmatic Annotation in Spoken Corpora' . 92 speakers addressed the research seminars.

The largest conference the Institute has undertaken, 'Chaucer 2000', was co-hosted with the New Chaucer Society as their twelfth International Congress, and brought 356 visitors to London (274 of which were from outside the UK). 'Plagiarism in History and Theory', 'Literature, Film, Modernity', 'Bulwer Lytton 2000' (in association with Knebworth House, Herts) and 'Shakespeare's Narrative Poems' complemented eight further one-day conferences on a wide range of subjects. Sponsors included the British Academy, RHUL, UCL, and numerous publishers.

The two MAs completed successful years under the direction of Professor Simon Eliot (History of the Book, University of Reading) and Dr Mpalive Msiska (NILE, Birkbeck). Waterstone's generously agreed to fund the Waterstone's Una Dillon Memorial Bursaries for both degree courses.

In November 1999 the Institute formally established a Research Centre in the History of the Book, with the University of Reading , St Bride Printing Library , the Open University, the British Library and the University of London Library (both of the last-named contributed to the Centre's funding, as did a School Initiatives Grant). The Research Centre's first success was the award to Dr Clare Hutton of a four-year AHRB post-doctoral fellowship devoted to *The History of the Book in Ireland* (Oxford), Vol 5, of which she is co-editor. Dr Andrew Nash continued his four-year Institutional Post-doctoral Fellowship project 'Between Two Worlds: Authors and Publishers, 1870-1939'. Megan Carey took up the new post of Project Funding Officer (also co-ordinating the two MAs), with Jon Millington in a .4 secretarial post. Following an uncomfortable and extended period with temporary staff following the departure of Anne Buckle as IES/IRS Administrator, funding from the School facilitated a new clerical post of Administrative Secretary of the Institute with responsibility for Conferences, Seminars and the Visiting Fellows Programme, which was taken up by Richard Durston in June 2000.

Miss Pamela Robinson was promoted to Senior Lecturer in Palaeography and successfully

secured research leave funding from the British Academy for 2000-01. She was elected Secretary-General of the Comité Internationale de Palaeographie Latine, and taught on the Summer Palaeography Programme and continued as Course Tutor for the MA in the History of the Book.

Visiting Research Fellows came from the USA (1) Ireland (1), the UK (2), Japan (1) and Australia (1). Mr James Mosley and Professor Jerome J. McGann were appointed as Senior Research Fellows.

Publications of conference proceedings with the IES/Macmillan Press series included *Female Communities 1600-1800* eds Rebecca D'Monté, and Nicole Pohl, *The Art of Detective Fiction* eds. Warren Chernaik, Martin Swales and Robert Vilain and *The Holocaust and the Text: Speaking the Unspeakable* eds. Andrew Leak and George Paizis, all published in 2000.

Block Membership was extended to Kingston University. The Institute ended the year with a modest surplus.

[back to contents](#)

### **Institute of Germanic Studies**

New academic initiatives were launched in connection with the preparation for and the celebration of 50<sup>th</sup> anniversary of the Institute. The annual lecture series 'Traces of Transcendancy' explored Christian, Judaic, and Islamic themes and motifs in the Germanic literatures. One particular highlight was the appearance of Richard Wagner's great-grandson, Gottfried Wagner, who lectured on the opera *Parsifal* and its quasi-ideological understanding of art and religion. The series was impressively concluded by Wilfried Barner (Göttingen) who delivered the Corresponding Fellows Lecture on 'Imagining Death in Literature'.

The introduction of a regular graduate seminar, led by the Director, represented another innovation and provides a much-needed forum for young researchers in German literature. In its monthly sessions the seminar discussed poetological texts from 1700-2000. In addition, an annual Sylvia Naish Research Student Lecture was instituted.

The Institute hosted or (co-)organized a series of highly successful one-day workshops on Hermann Broch and H.G. Adler as well as on Klaus Mann and Rolf Dieter Brinkmann, including an interdisciplinary colloquium on the exile poet and anthropologist, Franz Baermann Steiner. Three international conferences took place: the first one was devoted to

the work and legacy of the Austrian writer and critic Karl Kraus, which was followed by a symposium on Arthur Schnitzler and a conference on the theme of 'Dream Images in German Literature'.

The English Goethe Society and the Research Centre for German and Austrian Exile Studies featured prominently in the Institute's programme with papers on 'Goethe and Switzerland', 'Italia and Germania in the Writings of E.T.A. Hoffmann', BBC Radio Propaganda during the Second World War, and the cultural activities of the Austrian Centre after 1938. The English Goethe Society invited the Director to deliver the 1999 Ida Herz Lecture on 'Thomas Mann's Narrated Poetics'.

In the spirit of 'widening participation' and honouring its function as a prime advocate of the study of German in this country the Institute hosted a highly successful conference on 'German as a Foreign Language', organised in collaboration with Anglia Polytechnic University, King's College London, the German Academic Exchange Service and the Goethe Institute, London.

The first part of the Institute's jubilee programme culminated in Alfred Brendel's reading of his poetry in the presence of an audience including the ambassadors of the three German-speaking countries.

[back to contents](#)

## **Institute of Historical Research**

This year it was this Institute's turn to be dominated by builders, as the generous allocation from the Vice-Chancellor and from the Friends of the IHR meant that the third floor of the Institute was largely transformed into offices for the VCH and for the Assistant Librarians, while most of the books which had occupied that floor were removed to new rolling stacks in the basement. This was a rationalisation of space in many ways: it put all the VCH staff in adjacent offices for the first time; it placed all research staff in close proximity to each other; and the efficiency of the rolling stacks created some years' extra expansion space for the library collections. Overall about two-thirds of the IHR has been redecorated and recarpeted. The disruption was dealt with in a friendly and helpful way by both staff and members: the number of seminars held during the year was higher than the previous year and about 30 history conferences were accommodated, along with 17 workshops. The Anglo-American

Conference on *War and Peace* attracted a great number of scholars from all over the world.

*The Victoria County History* was successful in its bid to the Heritage Lottery Fund for a preliminary development project. Three volumes of the *History* were published: *Shropshire X*, *Middlesex XI* and *Wiltshire XVI* and a fourth, *Somerset VII*, was with the publisher. In Gloucestershire the County Council and Cheltenham and Gloucester College renewed their partnership with the IHR for another five years. In Essex the VCH staff were transferred from the University of London to the University of Essex with a secure five year future, thanks to a new partnership between the County Council, the University of Essex and the IHR. The General Editor, Dr C.R.J. Currie retired from his post at the end of the session, but will continue to act as a Consultant Editor of the VCH.

The *Centre for Metropolitan History* extended its research area further when the research officer took up her post on the St Paul's Cathedral History project which is to celebrate the cathedral's fourteen hundredth anniversary in 2004. The editing and analysis of the data collected for *Metropolitan Market Networks, c. 1300-1600* was completed by the spring and work began on writing it up. The database of references to unpublished London diaries reached over nine hundred entries, the project on *Markets and Fairs in England and Wales to 1516* was completed in May and the ESRC awarded funding for a project on *Markets and Fairs in Thirteenth Century England*. In April the Centre collaborated with the Corporation of London and the Museum of London in organising a conference on *Governing London: Lessons from 1000 years* designed to coincide with the London Mayoral elections.

Dr Harriet Jones became acting Director of the *Institute of Contemporary British History* for the year. The Witness Seminar Programme was extended and developed, and the new *electronic Journal of International History* launched. In association with the Science Museum, the ICBH was awarded a large grant from the European Space Agency to fund a study and witness seminar programme on the history of rocketry in Britain. The annual summer conference was on the theme of *Aspects of Gender in Contemporary Britain*.

The *Publications Department* expanded this year, the Secretary, Publications Assistant, Web Site Manager and Deputy Editor of electronic History Reviews were joined by the Web Development and Training Officer, and funding was awarded by JISC for a Web Cataloguer, while funding from Blackwells for an editorial assistant was promised. Sales increased during the year with the establishment of the IHR Bookshop, and the usual annual publications were issued along with the sixth volume in the early medieval series of *Fasti*

*Ecclesiae Anglicanae* and the new editions of *Grants for History* went to press.

The *Library* had 2,181 volumes added to stock during the year bringing its total size to 161,895 volumes. The most notable event of the session was the removal of most of the books on the third floor to the new Basement Reading Room. Two major bequests were received, one from Dr Vincent Wright's collection on modern French history and one from Dr Richard Spence which will be used to support the acquisition of works on Tudor and Stuart history. The House of Lords Record Office donated a large collection of printed sources which has enabled further extensive replacement of volumes in poor condition. The most encouraging of the session's developments was the award of £43,129 annually for three years by the Research Support Libraries Programme, in recognition of its importance to researchers from other institutions.

The original mission of the Institute at its formation in 1921, the provision of seminars and training for the advanced study of history, has been enhanced this year with a generous grant from the Vice-Chancellor which has provided advanced audio-visual facilities, so that full advantage can be taken of technological support, and removal of equipment from room to room minimised. In addition to this technological re-enforcement of the essential mission of the IHR, a multi-point bridge and video-conferencing package has been installed so that the broadcasting of and participation in the hundreds of IHR seminars each year can extend beyond the south east of England.

### **Institute of Latin American Studies**

HEFCE's positive appraisal of the "Institute model" will assist ILAS in the coming period to attain greater complementarity between the skills and resources of the Institute's academic staff, those of London colleagues, and national academic needs in the field. As a complement to the HEFCE review, and with the objective of improving and expanding its national role, the Institute commissioned a review of its library by the University of Warwick.

ILAS continued the recently adopted thematic approach. Highly successful events were held on the construction of the rule of law and international organisations; pluri-cultural and multi-ethnic experiences and policy; and regional integration. Recognition of the salience of these themes enabled the Institute to secure major support from the European Commission.

Support from the Ford Foundation was particularly valuable for the programmes on law and human rights, enabling preparations for a conference on the Pinochet case in addition to research work on judicial reform in Brazil. The decision by the William and Flora Hewlett

Foundation to renew its grant (held jointly with the Latin American Centre at Oxford) for a further two-year period permitted the advertisement of a joint post-doctoral research fellowship – the first time the Institute has been able to announce such a vital position in nearly two decades.

The focus of Guillermo O'Donnell's distinguished John Brooks lecture on the region's combination of political liberalism and social authoritarianism is equally likely to remain a feature of future ILAS activity, as is the fact that people of Hispanic/Latin descent now form the largest ethnic minority within the USA.

In the face of continued low enrolment for the MA in Brazilian Studies the Institute decided to withdraw the MA at the end of the 2000-01 academic session. The Institute will, however, continue to provide courses on Brazil in the other degree programmes, and Brazil continues to feature very strongly in the conference programme.

In 1999-2000 four students at ILAS were awarded their PhD, bringing the total of awards to eight since the start of the Institute's research degree programme in 1994.

The Institute retained close ties with public and private institutions at home and abroad, and with Latin American embassies, as part of its mission to promote the study and understanding of Latin America. ILAS's traditionally warm relations with Canning House were improved further still when Philip McLean, the first holder of the Robin Humphreys Visiting Fellowship, was appointed Director General of the Hispanic and Luso-Brazilian Council. Ties were established with the University of Havana and a number of specialist events were organised, the Director being a member of the national Cuba Forum based at the Wolverhampton University.

The Institute maintained its vigorous publications programme, and has begun to publish collections of essays by individual scholars closely associated with the Institute, with one volume in 1999-2000, and two more in press.

There was a lower number of Visiting Research Fellows this year, particularly from overseas, but the quality remained high. International debt, administrative decentralisation, historical diasporas and constitutional reform figured amongst the research topics pursued.

[back to contents](#)

## **Institute of Romance Studies**

The Institute celebrated the tenth anniversary of its foundation in 1989 with a reception after

the University of London Cassal Lecture given by the Institute's founding Director, Prof. Malcolm Bowie.

The Institute successfully applied for consent to apply income from the Staunton Bequest to support visits by overseas speakers to participate in conferences on French topics, as well as the long-established Cassal Lecture. Seventeen conferences were held in total, on topics including 'Internationalism and the Paris Stage' (in association with Gresham College and the British Festival of Visual Theatre), 'Travel Writing of the 1930s in France, Italy and Spain', 'French Women Writers in the 1990s', 'The Renaissance Novella', 'Travesties: Opera, Genre, Gender', 'Post-Feminism and Women's Film-Making in Europe', 'Writing Silence in French Culture', 'New Perspectives on Cultural Studies in Portuguese', and the conference 'Cultures of Remembrance / Culture as Remembrance' which was held in conjunction with the Institute's first International Summer School at the end of June, attended by scholars and graduate students from the UK, US, Canada, Spain, Netherlands, and with guest lecturers from the US, Brazil and Israel. The Institute also hosted the conference of the Society for Italian Studies, and the first conference of the new association Women in Spanish, Portuguese and Latin American Studies. Seminar programmes included the Graduate Forum, the very successful Film Study Group, the Study Group on the European Reception of British Authors, and the new seminar series in Cultural Memory and in Ethnicity and Migration. Of the 308 speakers throughout the year, 70 were from the University of London, 176 from elsewhere in the UK, and 62 from overseas.

This year saw the appointment of the Institute's first lecturer, Dr Gill Rye, who specialises in contemporary French women's literature, and of the Institute's first MA programme in Cultural Memory, taught by a team of 18 lecturers and 6 guest lecturers from a range of departments across universities in London and elsewhere. The first cohort of 3 full-time MA students graduated at the end of the year, two with distinction. The Institute also accepted its first research student working on a comparative Spanish-Dutch project, co-supervised by the Director Professor Jo Labanyi and by Professor Theo Hermans (UCL). The Institute also ran an increased programme in Research Skills and Methods over four Saturday Day Schools, which attracted approx. 50 postgraduates from University of London Romance Language Departments and elsewhere. We were pleased that all University of London Romance Language Departments, and some from outside London, have now taken advantage of the Institute's Departmental Membership Scheme so that their students can attend this research training programme.

The Institute benefited from the presence of two Visiting Fellows: Prof. Ziva Ben-Porat (Director of the Porter Institute of Poetics and Semiotics, Tel Aviv University), and Dr María Teresa Gómez (McGill University) who continues in residence till August 2002. The Institute was particularly pleased to be awarded a Visiting Professorship by the Leverhulme Trust, tenable at the Institute by Professor Susan Rubin Suleiman (Harvard University) in May-June 2003 and May-June 2003.

Volume 6 (1998) of the *Journal of the Institute of Romance Studies* was published, and agreement was reached with Berghahn Books to relaunch the journal, under the new title of *Journal of Romance Studies*, as from 2001; it was also agreed that Berghahn will, from 2001, publish a new book series *Remapping Cultural History* in association with the Institute. The Institute also began work on production of a database of UK MA provision in and including the Romance Languages, made possible by an Initiatives Grant from the School, which will go on-line in early 2001 as a national information resource.

[back to contents](#)

### **Institute of United States Studies [*contribution under revision*]**

Two major events of the academic year are the *James Bryce Lecture on the American Commonwealth* and the *Cleanth Brooks Lecture on American Literature and Culture*. The James Bryce lecturer for 1999-00 was the Honorable William H. Rehnquist, Chief Justice of the Supreme Court of the United States, who spoke on "The American Constitutional Experience: Stress and Strain Among the Three Branches of Government." The Cleanth Brooks lecture, "Cleanth Brooks: From Literary Criticism to Literary Theory" was delivered by Professor Sir Malcolm Bradbury.

The inaugural *T.S. Eliot Lecture on American Studies* was given in London by Professor Gerald Early, Merle Kling Professor of Modern Letters, Washington University in St Louis. Professor Early spoke on "From Louis Armstrong to Lois Lane: Reflections on the Meaning of the American Century". A member of the Institute's Advisory Council, Lord Rees-Mogg, gave the return lecture in St Louis, on the subject of "The Changing Culture of Cousins, 1623-2000".

The *Harry Allen Memorial Lecture* for 2000 was given by Professor Peter J. Parish, Professor *Emeritus* of American History, University of London, and Mellon Senior Research

Fellow of American History at the University of Cambridge. Professor Parish spoke on "Abraham Lincoln and American Nationhood."

The second *John Coffin Memorial Recital*, a programme of the School of Advanced Study, was given in 1999 by the pianist Joanna McGregor. The recital featured selections of American music.

The Institute co-hosted a lecture by Judge Kenneth Starr, with the Institute of Advanced Legal Studies and *The Daily Telegraph* newspaper. Judge Starr spoke on "Ethics in Government: The Presidency and the Independent Counsel".

There were also four lectures delivered as part of the Institute's *John M. Olin Programme on Politics, Morality and Citizenship*: Professor Peter Berkowitz spoke on "Giving Liberalism Its Due"; Professor Jeremy Rabkin spoke on "What Makes a Citizen? American Lessons from the European Union"; Professor James Ceaser spoke on "The Ugly American: Images of America in Continental Political Thought"; and the Honorable Eugene W. Hickok, Jr., spoke on "Public Education and the Crisis of American Citizenship."

During the year the Institute also hosted a public lecture by Rob Coleman, Animation Supervisor for Industrial Light and Magic, a division of Lucas Digital Ltd. Mr Coleman spoke on "Star Wars Episode 1: Behind the Magic".

Four seminars were given as part of the Institute's programme on American law and politics. The speakers were Professor Constance Rossum (Azusa Pacific University); Professor Ralph Rossum (Claremont McKenna College); Professor J. R. Pole FBA (Oxford University); and Professor G. Calvin Mackenzie (Colby College).

The Institute hosted five John Adams Fellows: Professor Jewel Spears Brooker (Eckerd College, Florida), Professor G. Calvin Mackenzie (Colby College), Professor Barbara Oberg (Princeton University), Professor Jane Rhodes (University of California, San Diego), and Professor Shamit Saggat (Queen Mary & Westfield College, University of London). Dr Irina Somerton and Mrs Deborah Hart-Stock were in residence as Visiting Research Fellows. Dr Sergiu Vintila and Dr Otilia Dragomir of the National Public School of Political Science and Public Administration in Romania, also spent a month at the Institute as Visiting Research Fellows during the summer of 2000. Professors Brooker, Mackenzie and Rhodes gave public seminars during their stay in London.

Student enrolment was twenty-three registrations for the MA programme, and four registrations for the MPhil/PhD programme. The MA students were mostly from the United Kingdom (twenty), but the geographical distribution included two Americans, two Italians, and one each from Germany and France.

## **Warburg Institute**

The year opened auspiciously with a visit from the Chancellor, HRH The Princess Royal, and with a packed lecture by Professor Sir Ernst Gombrich on the 70<sup>th</sup> anniversary of the death of the founder Aby Warburg. The refurbishment of the previous year was completed with minor works to the Reception area and the courtyard garden, and the task of integrating the Menil archive and the 6,000 volumes that accompanied it was satisfactorily concluded. Thanks to RSLP funding in recognition of the library's national role as a research resource, and to a grant from the Vice-Chancellor's Fund, the final phase of the retroconversion of the catalogue was launched, and access to its computerised version was enhanced. Book accessions more than doubled compared to the previous year, with a very high proportion of gifts. The reorganization of the Photographic Collection continued with the rearrangement, supplementation and cataloguing of its photographs of illustrated manuscripts; a revised and expanded guide to the Collection was prepared, and will shortly be mounted on the Institute's website. The Archives received an unprecedented number of visitors; the AHRB-funded inventory of the correspondence of Aby Warburg continued apace, reaching 1929 and over 23,800 letters.

Two members of staff - Dr Elizabeth McGrath and Dr W.F. Ryan, who was also elected a Fellow of the British Academy - were promoted to *ad hominem* Chairs. Dr McGrath was awarded the 1993-97 Eugène Baie Prize by the Provincial Government of Antwerp. Dr Magnus Ryan took up his appointment as Lecturer in Late Medieval Studies, and Mr Rembrandt Duits joined the staff of the Photographic Collection.

There were two long-term research fellows in residence during the year, and nineteen short-term fellows, three of them funded by the Andrew Mellon Foundation, and one by Norddeutsche Landesbank. In addition, the British Academy funded two Visiting Scholars and one Exchange scholar; the Institute also hosted a School Visiting fellow, a Spanish Postdoctoral fellow and an Aby Warburg scholar from the University of Hamburg. All the fellows gave papers on their research in the Director's Work in Progress seminar; other seminar series were devoted to Creativity and the Classical Tradition at the Turn of the Millennium, the History of Scholarship, Maps and Society, and Giordano Bruno; there were three meetings of the Forum on Early Modern Central Europe, a regular postgraduate seminar, and the first session of a new Archives seminar. Seven public lectures (four on the

history of astrology) were delivered by visitors, and six lunchtime lectures by members of the staff. Four international colloquia were held, on Tudor Humanism, Apocalypse Then and Now, Folklore and the Historian, and Magic and the Classical Tradition. Several of these activities were organized in collaboration with other institutions within and outside the University.

Five students took the MA course in *Combined Historical Studies (The Renaissance)*, and seventeen were registered for research degrees; six PhD students successfully submitted their theses. In addition there were seven Occasional Students.

Individual members of staff were active in publishing their own research and that of others (see Appendix 5).

[back to contents](#)

## Philosophy Programme

The Philosophy Programme's activities for the year divided into the usual three core areas: the conference and lecture programme, the visiting fellowship programme and the dissemination of information about philosophy, and facilitation of postgraduate education, within London. But this year also saw the installation of the office of *Mind*, one of the world's leading philosophical journals, within the Programme's premises. Adding to its housing of the Aristotelian Society, the Programme is now proud to be the home to the UK's two leading philosophical periodicals.

There were ten one-day conferences on a wide range of philosophical topics, from Heidegger to compositional semantics. The conferences featured speakers from Europe, the USA and Australia, and drew audiences of between 30 and 125 people (the last for a conference on consciousness, which is becoming something of an annual event). As usual, the Aesthetics wing of the Programme was a contributor to the conference schedule, with a conference on Style in Philosophy attracting a good audience and featuring participation by writers and journalists. The Aesthetics Programme continued its successful collaboration with the Institute of English Studies, in their joint seminar series on the formation of contemporary aesthetics. The Philosophy Programme for its part collaborated with the Hellenic Society for one public lecture, and ran the annual University of London Jacobsen lecture, this year given by Robert Brandom of the University of Pittsburgh.

The Programme was fortunate to have three very distinguished visiting fellows during the year: Professor Brian Loar from Rutgers University (a whole year) Professor Georges Rey from the University of Maryland, and Andrew Woodfield from the University of Bristol (one term each). Each fellow played a leading role in the academic life of the Programme, and the two American visitors took the opportunity to visit many UK philosophy departments to present papers.

As usual, the Programme maintained the London Philosophy 'Fixtures List' (available as a leaflet and at the Programme's website: [www.sas.ac.uk/Philosophy](http://www.sas.ac.uk/Philosophy)); it administered the University's intercollegiate graduate philosophy conferences (two per term); and it coordinated the University's intercollegiate graduate seminar timetable.

[back to contents](#)

## **PART III: APPENDICES**

### **Appendix 1: Institutes and Programmes**

#### *Institutes Director*

Institute of Advanced Legal Studies Professor Barry Rider  
Charles Clore House, 17 Russell Square, WC1B 5DR  
Tel: 020 7637 1731 Fax: 020 7580 9613

Institute of Classical Studies Professor Geoffrey Waywell  
Senate House, Malet Street, London WC1E 7HU  
Tel: 020 7862 8700 Fax: 020 7862 8719

Institute of Commonwealth Studies Professor Patricia Caplan  
27-28 Russell Square, WC1B 5DS  
Tel: 020 7862 8844 Fax: 020 7862 8820

Institute of English Studies Professor Warwick Gould  
Senate House, Malet Street, WC1H 7HE  
Tel: 020 7862 8675 Fax: 020 7862 8672

Institute of Germanic Studies Professor Rüdiger Görner  
29 Russell Square, WC1B 5DP  
Tel: 020 7862 8965/6 Fax: 020 7862 8970

Institute of Historical Research Professor David Cannadine

Senate House, Malet Street, WC1E 7HU

Tel: 020 7862 8740 Fax: 020 7862 8811

Institute of Latin American Studies Professor James Dunkerley

31 Tavistock Square, WC1H 9HA

Tel: 020 7862 8870 Fax: 020 7862 8886

Institute of Romance Studies Professor Jo Labanyi

Senate House, Malet Street, WC1E 7HU

Tel: 020 7862 8675 Fax: 020 7862 8672

Institute of United States Studies Professor Gary McDowell

Senate House, Malet Street, WC1E 7HU

Tel: 020 7862 8693 Fax: 020 7862 8696

Warburg Institute Professor Nicholas Mann

Woburn Square, WC1H 0AB

Tel: 020 7862 8949 Fax: 020 7862 8955

### *Programmes Programme Director*

Philosophy Programme Dr Timothy Crane

Senate House, Malet Street, WC1E 7HE

Tel: 020 7862 8683 Fax: 020 7862 8657

## **Appendix 2: Government and administration of the School**

Board of the School of Advanced Study

Professor G J Zellik (Vice-Chancellor of the University of London): Chairman

Dr R G W Anderson (Director, The British Museum)

Professor Hugh Beale (University of Warwick, and Law Commission)

Professor M Boden (School of Cognitive and Computing Sciences, University of Sussex)

Mr David Bradbury (Director, Collections and Services, British Library)

Professor G Brown (Research Centre for English and Applied Linguistics, University of Cambridge)

Professor T C Daintith (Dean, School of Advanced Study)

Professor N W Gowar (Principal, Royal Holloway, University of London)<sup>1</sup>

Professor S Marks (Department of History, School of Oriental and African Studies, University of London)

Professor T O'Shea (Master, Birkbeck College, University of London)<sup>2</sup>

Mr D Porreca (Warburg Institute: Student Member)

Professor G Waywell (Director, Institute of Classical Studies, Deputy Dean)

Secretary: Mr J R Davidson (Director of Administration, University of London)

#### Directorate

Professor T C Daintith (Dean, School of Advanced Study): Chairman

Professor D Cannadine (Director, Institute of Historical Research)

Professor P Caplan (Director, Institute of Commonwealth Studies)

Professor J Dunkerley (Director, Institute of Latin American Studies)

Professor R Görner (Director, Institute of Germanic Studies)

Professor W Gould (Director, Institute of English Studies)

Professor J Labanyi (Director, Institute of Romance Studies)

Professor G McDowell (Director, Institute of United States Studies)

Professor N Mann (Director, Warburg Institute)

Professor B Rider (Director, Institute of Advanced Legal Studies)

Professor G Waywell (Director, Institute of Classical Studies)

Secretary: Ms Arvind Cheesman

#### Committees

Academic Policy and Standards Committee

Chairman: Professor B Rider (Institute of Advanced Legal Studies)

Secretary: Mr A Bell (Institute of Latin American Studies)

Computing Committee

Chairman: The Dean

Secretary: Ms Arvind Cheesman (School of Advanced Study)

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<sup>1</sup> To 31 December 1999

<sup>2</sup> From January 2000

Libraries Committee

Chairman: Mr Robert Lyons (Institute of Historical Research)

#### Central staff

Dean Professor Terence Daintith

Dean's Assistant Ms Arvind Cheesman  
Clerical Assistant Ms Ushka Wakelin  
Registrar Mr A Bell

#### Fellows

##### *School Visiting Fellows*

David Gentilcore, University of Leicester: "The protophysician and the charlatan: the control of healing in Italy 1550-1800"

Glen Newey, University of Sussex: "Truth and accountability: lying and deception in democratic politics"

Mary Anne Perkins: "Europe and the Nation 1789-1870: issues of identity and relation"

Professor Philip Smallwood, University of Central England: "R.G. Collingwood's Critical Humanism"

Professor Charlotte de Witt, University of New Hampshire: "Aristotle's *Metaphysics*"

##### *Philosophy Fellows*

Professor Brian Loar, Rutgers University: "Consciousness and the qualities of experience"

Professor Georges Rey, University of Maryland: "Physicalism"

Andrew Woodfield, University of Bristol: "Concepts"

#### **Appendix 3: Allocation of University Grant within the School 1997-98, 1998-99, 1999-2000** (before deductions for central University services etc)

	1997-98	1998-99	1999-2000
Institute of Classical Studies	302,879	365,485	375,291

Institute of Classical Studies	302,879	365,485	375,291
Institute of Commonwealth Studies	435,428	444,603	447,523
Institute of English Studies	89,278	100,407	106,267
Institute of Germanic Studies	215,524	217,476	237,565
Institute of Historical Research	1,092,884	1,125,583	1,139,389
Institute of Latin American Studies	508,180	520,417	526,350
Institute of Romance Studies	61,982	61,982	68,350
Institute of United States Studies	166,142	174,000	184,828
Warburg Institute	1,110,652	1,125,247	1,141,173
School of Advanced Study (central)	180,758	176,043	226,854 <sup>1</sup>
<b>Total</b>	<b>5,164,523</b>	<b>5,344,095</b>	<b>5,523,513</b>

<sup>1</sup> Applied to Dean office expenses, common School premises, academic development; also includes unallocated funding for additional student numbers.

#### Appendix 4: Library statistics 1999-2000

<b><u>Usage</u></b>					
Visits					348,486
<b><u>Readers</u></b>					
SAS					422
University of London					5,624
Other UK universities					4,568
Overseas Universities					2,208

Universities						
Private / Commercial						5,784
Visitors / Temporary						3,612
Total Readers						22,218
<b><u>Collections</u></b>						
Gift volumes added						5,724
Total volumes added						19,344
Total volumes						1,118,150
Current serial titles						7,214
Total serial titles						25,523
Local electronic services						313
Microfilm rolls						4,853
Microfiches						160,106
Archives						634

## Appendix 5

### PUBLICATIONS

#### CLASSICS

##### *The Epigraphic Landscape*

Edited by Alison Cooley

Institute of Classical Studies BICS Supplement 73

## HISTORY AND HISTORIOGRAPHY

### *Britain 1914-2000*

Edited by D. Murphy

HarperCollins Publishers for Institute of Historical Research

### *Britain in 1997*

Edited by P. Catterall, V. Preston & A. Cryer

Institute of Historical Research

### *Britain in 1998*

Edited by P. Catterall, V. Preston & A. Cryer

Institute of Historical Research

### *Demand Management in Britain, 1953-58*

A. E. Holmans

Institute of Historical Research

### *Demand Management, 1958-64*

R. F. Bretherton

Institute of Historical Research

### *Diaries: The Radcliffe Committee and the Treasury, 1961-64*

A. Cairncross

Institute of Historical Research

### *Fasti Ecclesiae Anglicanae, 1066-1300*

#### *Volume VI, York*

Edited by D. Greenway

Institute of Historical Research

***Islam and the Italian Renaissance***

Edited by Charles Burnett and Anna Contadini

Warburg Institute Colloquia, 5

***Judicial Institutions in Nineteenth-century Latin America***

Edited by Eduardo Zimmermann

Institute of Latin American Studies, Nineteenth-century Latin America, No. 4

***The Politics of Religion in an Age of Revival: Studies in Nineteenth-Century Europe and Latin America***

Edited by Austen Ivereigh

Institute of Latin American Studies, Nineteenth-century Latin America, No. 5

***Trade, Urban Hinterlands and Market Integration, c. 1300-1600***

Edited by J. Galloway

Institute of Historical Research

***Victoria County History***

***Middlesex Volume XI***

Edited by C. Currie

Oxford University Press for Institute of Historical Research

***Victoria County History***

***Wiltshire Volume XVI***

Edited by C. Currie

Oxford University Press for Institute of Historical Research

***Victoria County History***

***Somerset Volume VII***

Edited by C. Currie

Oxford University Press for Institute of Historical Research

## LANGUAGE AND LITERATURE

### *The Art of Detective Fiction*

Edited by W. Chernaik, Martin Swales and Robert Vilain

Macmillan/IES Series, Institute of English Studies

### *The Death of Satan*

Denis Donoghue

The John Coffin Memorial Lecture

Institute of English Studies

### *Divided Loyalties: East German Writers and the Politics of German Division 1945–1953*

Peter Davies

Bithell Series of Dissertations, Institute of Germanic Studies

### *Female Communities 1600-1800*

Edited by Rebecca D'Monte and Nicole Pohl

Macmillan/IES Series, Institute of English Studies

### *The Holocaust and the Text*

Andrew Leak and George Paizises

Macmillan /IES Series, Institute of English Studies

### *Rilke und die Moderne*

Edited by Adrian Stevens and Fred Wagner

Institute of Germanic Studies Publications 74

### *Stepping Westward: From Literary Criticism to Literary Theory.*

Sir Malcolm Bradbury

The Cleanth Brooks Lecture

Institute of United States Studies

## PHILOSOPHY

### *History of the Mind-Body Problem*

Edited by Tim Crane and Sarah Patterson

London Studies in the History of Philosophy

Routledge for the Philosophy Programme, School of Advanced Study

### *Humanism and Early Modern Philosophy*

Edited by Jill Kraye and M.W.F. Stone

London Studies in the History of Philosophy

Routledge for the Philosophy Programme, School of Advanced Study

### *Man, God and Society: An Interpretive History of Individualism*

Barry Shain

Olin Series

Institute of United States Studies

### *The Proper Ambition of Science*

Edited by M.W.F. Stone and Jonathan Wolff

London Studies in the History of Philosophy

Routledge for the Philosophy Programme, School of Advanced Study

## POLITICS, ECONOMICS, SOCIAL SCIENCE

### *Amazonia at the Crossroads: The Challenge of Sustainable Development*

Edited by Anthony Hall

Institute of Latin American Studies

### *The American Constitutional Experience: Stress and Strain Among the Three Branches of Government*

The Hon. William H. Rehnquist  
The James Bryce Lecture  
Institute of United States Studies

***Britain, Europe and the United States: Reflections of an Anti-Maastricht Europhile***

Sir Oliver Wright  
Harry Allen Memorial Series  
Institute of United States Studies

***Ethics in Government: The Presidency and the Independent Counsel***

Kenneth W. Starr  
Olin Series  
Institute of United States Studies

***Giving Liberalism its Due***

Peter Berkowitz  
Olin Series  
Institute of United States Studies

***The Impotence of Omnipotence: The Cultural Limits of Globalisation***

Daniel Johnson  
Olin Series  
Institute of United States Studies

***Making Citizens: Why the American Experience Offers No Encouragement for the European Union***

Jeremy Rabkin  
Olin Series  
Institute of United States Studies

***To Make the Earth Bear Fruit: Fertility, Work and Gender in Highland Bolivia***

Olivia Harris  
Institute of Latin American Studies

***Tyranny and Liberty: Big Government and the Individual in Tocqueville's Science of Politics***

Harvey C. Mansfield Jr, Delba Winthrop and Philippe Raynaud

Olin Series

Institute of United States Studies

**AIDS TO RESEARCH**

***Historical Research for Higher Degrees in the Universities of the United Kingdom, no. 61***

***Part I: Theses Completed in 1999, Part II: Theses in Progress in 2000***

Edited by Jane Winters and A. Payne

Institute of Historical Research

***Teachers of History in the Universities of the United Kingdom 2000***

Edited by Jane Winters and A. Payne

Institute of Historical Research

**PERIODICALS**

***Amicus Curiae: Journal of the Society of Advanced Legal Studies*** (published by CCH

Editions in association with the Institute of Advanced Legal Studies

***Bulletin of the Institute of Classical Studies***

***CCH Financial Services Reporter*** (published by CCH Editions in association with the  
Institute of Advanced Legal Studies)

***Contemporary British History*** (published by Frank Cass for the Institute of Contemporary  
British History)

***European Business Law Review*** (published by Kluwer Law International in association with the Institute of Advanced Legal Studies)

***European Financial Services Law*** (published by Kluwer Law International in association with the Institute of Advanced Legal Studies)

***European Journal of Law Reform*** (published by Kluwer law International in association with the Institute of Advanced Legal Studies)

***Financial Crime Review*** (published by Institutional Investor in association with the Institute of Advanced Legal Studies)

***Historical Research: the Bulletin of the Institute of Historical Research*** (published by Blackwells for the Institute of Historical Research)

***International and Comparative Corporate Law Journal*** (published by Kluwer in association with the Institute of Advanced Legal Studies)

***International Corporate Law Bulletin*** (published by Kluwer in association with the Institute of Advanced Legal Studies)

***Journal of Financial Crime*** (published by Henry Stewart Publications in association with the Institute of Advanced Legal Studies)

***Journal of Financial Regulation and Compliance*** (published by Henry Stewart Publications in association with the Institute of Advanced Legal Studies)

***Journal of the Institute of Romance Studies***

***Journal of International Banking Regulation*** (published by Institutional Investor in association with the Institute of Advanced Legal Studies)

***Journal of Money Laundering Control*** (published by Henry Stewart Publications in

association with the Institute of Advanced Legal Studies)

*Journal of the Warburg and Courtauld Institutes*

*Modern History Review* (published by Philip Alan Publishers, edited by the Institute of Contemporary British History)

*Survey of Current Affairs* (published by Foreign and Commonwealth Office, edited by the Institute for Contemporary British History)

*Yearbook of the Research Centre for German and Austrian Exile Studies* (Institute of Germanic Studies)

## Appendix 6

### Terms of reference of the review panel – School of Advanced Study

1. To review and assess the work, activities and contribution of the School of Advanced Study in relation to the funding made available by the Higher Education Funding Council for England and to advise the Council on future funding of the School.
2. The review should include:
  - an assessment of income, costs and value for money
  - an assessment of the contribution which the School makes within the relevant subject communities, in London, nationally and internationally
  - options for future funding arrangements which will most effectively sustain that contribution.

### *Members of the Review Panel*

<i>Name</i>	<i>Institution</i>	<i>Specialist subject area</i>
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<i>Derek Law</i>	University of Strathclyde	Information
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<i>Derek Law</i>	University of Strathclyde	Information
<i>Professor Emeritus John Grant</i>	University of Glasgow	Law
<i>Professor Pat Easterling</i>	University of Cambridge	Classics
<i>Dr David Baker</i>	University of East Anglia	Information
<i>Professor William Paterson</i>	University of Birmingham	German

## Appendix 7

### Theses completed in 1999-2000

#### *Institute of Advanced Legal Studies*

Yasonobu Sato: Commercial dispute processing: the Japanese experience and future

Supervisor: Professor Barry Rider

Shazeeda A Ali: Money laundering control - a Commonwealth Caribbean perspective

Supervisor: Professor Barry Rider

#### *Institute of Latin American Studies*

Ann Bartholomew: MERCOSUR: An analysis of regional integration between Argentina and Brazil

Supervisor: Professor Victor Bulmer-Thomas

Jo Fisher: Uncovering a history of working-class feminism in Argentina: 'Ni Marujas, Ni Marimachos'

Supervisor: Dr Maxine Molyneux

Marcus Klein: A comparative analysis of Fascist Movements in Argentina, Brazil and Chile.

Between the Great Depression and the Second World War

Supervisor: Professor James Dunkerley

Margarita Velázquez: Social sustainability: Gender and household relations in two forest communities in Quintana Roo, Mexico

Supervisor: Dr Maxine Molyneux

*Warburg Institute*

Sophie Page: Magic at St Augustine's, Canterbury in the Late Middle Ages

Supervisors: Professor Charles Burnett and Dr M. W. Evans

William Pallister: Historical and Literary Dimensions of Rhetoric in Milton's Paradise Lost

Supervisor: Dr Jill Kraye

Stephen Pigney: Attitudes to Philosophy's Past in the Seventeenth Century: Theophilus Gale and his Continental Precursors

Supervisor: Dr Jill Kraye

Guido Rebecchini: Art Collectors in Mantua, 1500-1630

Supervisors: Dr David Chambers and Dr Charles Hope

Mr Jonathan Rolls : God and the World: Some Interpretations of the 'Transcendental' Analogy of Being

Supervisors: Professor Charles Burnett and Dr Jill Kraye

Mr Alessandro Scafi: The Notion of the Earthly Paradise from the Patristic Era to the Fifteenth Century

Supervisor: Dr Jill Kraye

[back to contents](#)